

**Identifying Non-Tariff Barriers:
Evolution of Multilateral Instruments and
Evidence from the Disputes (1948-2011)**

Roy Santana and Lee Ann Jackson*

WEB-APPENDIX

* *The authors are members of the WTO Secretariat. The views expressed here are their own and should not be attributed to Members of the WTO or to the Secretariat. Corresponding author: Lee Ann Jackson, leeann.jackson@wto.org, +41 22 739 6907 (phone), +41 22 739 5760 (fax), Agriculture and Commodities Division, World Trade Organization, 154 Rue de Lausanne, 1211 Geneva, Switzerland. The authors would like to thank Marisa Goldstein, Suja Rishikesh, Gretchen Stanton and Erik Wijkstrom for their comments on an earlier version of this paper, and Miriam Rosaldo and Emily Schwartz for their assistance in the gathering of information.*

Table of Abbreviations and Acronyms

AD	Anti-dumping
ADA	WTO Agreement on Anti-dumping
AoA	WTO Agreement on Agriculture
BISD	Basic Instruments and Selected Documents
BOP	Balance of Payments
CVD	Countervailing duties
DDA	Doha Development Agenda
DSU	WTO Dispute Settlement Understanding
GATS	General Agreement on Trade in Services
GATT	General Agreement on Tariffs and Trade
ILA	WTO Agreement on Import Licensing Procedures
Inv.	Inventory
ITC	International Trade Centre
MTS	Multilateral Trading System
NAMA	Negotiating Group on Market Access for Non-Agricultural Products
NTB	Non-tariff barrier
NTM	Non-tariff measure
ODCs	Other duties and charges
QR	Quantitative restriction
SCM	WTO Agreement on Subsidies and Countervailing Measures
SGA	WTO Agreement on Safeguards
SPS	WTO Agreement on Sanitary and Phytosanitary Measures
STC	Specific Trade Concern
Standards Code	Tokyo Round Agreement on Technical Barriers to Trade
Subs.	Subsidies
TBT	WTO Agreement on Technical Barriers to Trade
TR	Tokyo Round
TRIMS	WTO Agreement on Trade-Related Investment Measures
TRIPS	WTO Agreement on Trade-Related Aspects of Intellectual Property Rights
UR	Uruguay Round
WTO	World Trade Organization

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A. DATA TABLES

Table A.1.
Inventories of Non-Tariff Measures for Non-Agricultural Products,
Share of NTMs by Inventory Category (percentage)
(1968, 1973, 1989, 2003 and 2005)

Parts and Sections	Category description	Inventory (1968) ¹	Inventory (1973) ²	Inventory (1989) ³	NAMA, 1st Inv. (2003) ⁴	NAMA, 2nd Inv. (2005) ⁵
Part I	Government Participation in Trade and Restrictive Practices Tolerated by Governments	11.9	15.3	20.9	7.1	7.0
A	Government aids	2.7	6.6	7.3	1.8	1.7
B	Countervailing duties	0.6	0.4	0.5	0.2	0.0
C	Government procurement	3.7	3.4	6.4	0.9	0.7
D	Restrictive practices tolerated by governments	0.0	0.8	2.0	3.8	4.3
E	State trading, government monopoly practices, etc.	4.9	4.1	4.6	0.4	0.3
Part II	Customs and Administrative Entry Procedures	14.8	14.6	11.9	23.5	26.2
A	Anti-dumping duties	1.1	1.5	2.3	1.5	2.3
B	Valuation	5.5	4.8	4.1	2.3	5.3
C	Customs classification	1.3	0.7	0.5	0.7	3.3
D	Consular formalities and documentation	4.7	6.4	3.4	2.3	3.0
E	Samples	0.7	0.4	0.2	0.1	0.0
F	Rules of origin	1.3	0.0	0.4	7.4	2.6
G	Customs formalities	0.2	0.8	1.1	9.1	9.6
Part III	Technical Barriers to Trade	6.1	9.2	8.2	29.9	37.1
A	General	0.0	9.2	1.6	3.2	8.9
B	Technical regulations and standards	5.2	0.0	3.0	15.8	13.2
C	Testing and certification arrangements	0.9	0.0	3.6	11.0	14.9
Part IV	Specific Limitations	36.7	31.5	31.7	34.9	26.8
A	Quantitative restrictions and import licensing	20.7	15.6	13.9	12.8	7.0
B	Embargoes and other restrictions of similar effect	5.0	5.6	5.3	0.8	4.0
C	Screen-time quotas and other mixing regulations	1.9	3.6	1.6	0.0	0.7
D	Exchange control	2.3	1.2	1.2	1.3	1.3
E	Discrimination resulting from bilateral agreements	0.8	1.5	1.1	0.1	0.7
F	Discriminatory sourcing	0.5	1.0	0.0	0.3	1.7
G	Export restraints	1.6	0.4	0.4	0.2	1.0
H	Measures to regulate domestic prices	1.6	0.5	1.2	0.2	0.3
I	Tariff quotas	0.2	0.3	0.5	0.3	1.3
J	Export taxes	0.0	0.0	2.1	0.2	1.0
K	Requirements concerning marking, labeling and packaging	1.6	1.6	2.1	7.2	6.3
L	Other specific limitations	0.3	0.1	2.1	11.5	1.7
Part V	Charges on Import	29.2	29.4	27.3	4.4	1.7
A	Prior import deposits	1.9	1.9	1.6	0.2	0.0
B	Surcharges, port taxes, statistical taxes, etc.	13.5	10.5	10.5	3.0	1.3
C	Discriminatory film taxes, use taxes, etc.	11.1	4.0	4.5	0.2	0.3
D	Discriminatory credit restrictions	1.3	1.4	1.2	0.2	0.0
E	Border tax adjustments	0.9	11.2	8.6	0.2	0.0
F	Emergency action	0.5	0.4	0.9	0.8	0.0
	Other	1.4	0.0	0.0	0.2	1.3
	Total	100.0	100.0	100.0	100.0	100.0
	Memo: No. of items in the categories	873	731	561	2556	302

Source: Own calculation based on GATT and WTO documents (see below).

Note: The information presented in this table is largely based on "reverse" notifications which have been classified according to the inventory categories in WTO document TN/MA/S/5. Because the categories used in each of the inventories differ, several elements had to be adjusted. Where a notified item corresponds to two or more inventory categories, it was counted under all the relevant categories. This means that the number of items used for this table overestimates the actual number of items contained in some of the inventories.

/1 Based on the Inventory on Non-Tariff Measures of the Committee on Industrial Products, GATT document COM.IND/6 and Addenda, of 11 December 1968. The categories used in this inventory diverge considerably from the ones used in this table. The frequency of measures were grouped and reassigned accordingly. These include inter alia: countervailing duties were classified under Part II (customs and administrative procedures) and not under Part I; the category "customs classification" of II.B did not exist, but data were taken from the categories "harmonization of nomenclature" and "arbitrary classification"; "consular formalities" were included under Part II and not in Part I; QRs and licensing requirements were separated as two separate items; marking and packaging requirements were classified under Part III (Technical Barriers to Trade); the "restrictive practices tolerated by governments" were included and the "other" category, etc.

/2 Based on the Note by the Executive Secretariat of the GATT entitled "Inventory of Non-Tariff Measures - Balance sheet of notifications", document COM.IND/W/102 of 11 April 1973. The inventory categories differ slightly from the ones used in this table. For example, in the 1973 inventory, Part III was entitled "Standards" and was subdivided in: A) Industrial Standards; B) Health and safety standards; C) Other standards concerning product contents; and D) Requirements concerning marking, labelling and packaging; the category on "export taxes" did not exist, etc.

/3 Based on GATT's Secretariat Analysis of the documentation of the Technical Group on Quantitative Restrictions and other Non-Tariff Measures, GATT Document NTM(TG)/W/5 of 28 February 1989, Annex 10 (QRs) and 12 (NTMs other than QRs).

/4 The summary is based on WTO Secretariat's report JOB(03)/128, which compiled information of notifications in the TN/MA/W/25 series. Data was processed and rearranged in a manner that would allow for the counting of individual measures as per the inventory categories. The WTO Secretariat noted in this report that information contained in the notifications was often inaccurate or incomplete. The authors add that the manner in which products were grouped also diverged across notifications, ranging from grouping of categories of products to identifying tariff lines at the 10-digit level. This summary should, therefore, be interpreted with caution.

/5 The summary is based on WTO Secretariat's report JOB(04)/62/Rev.7, which compiled information of notifications in the TN/MA/W/46 series. The summary includes Brazil's notification in TN/MA/W/46/Add.16 which was not taken into account in the Secretariat's report. The same processing notes of document JOB(03)/128 apply. The shares presented in Part III include both notifications under the category "SPS" and TBT of TN/MA/S/5/Rev.1 .

Table A.2.
Number of Agreements Cited in GATT and WTO Disputes on Goods,
By Type of Product, and Time Period
(1948-2011)

A. Number of disputes citing the Agreement

Prod. Type	Agreement or Code	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3. Post- Kennedy R. (1968-1972)	4. During Tokyo. R. (1973-1979)	5 .Post- Tokyo. R. (1980-1985)	6. During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
All Goods	Total number of disputes on Goods	59	0	9	24	53	117	262	216	177	393
	1. GATT	59	0	9	24	40	90	222	164	166	330
	<i>A. Adjusted (see Annex 1)</i>	59	0	9	24	36	82	210	109	101	210
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	100	80	180
	2. Agriculture	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	39	27	66
	3. Anti-dumping	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	14	15	37	52	89
	4. Customs Valuation	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	10	5	15
	5. Government Procurement	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	3	4	7	4	0	4
	6. Import Licensing	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	1	1	28	7	35
	7. Safeguards	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	19	20	39
	8. Subsidies and CVDs	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	11	15	26	42	46	88
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	11	13	14	19	33
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	9	4	13	38	39	77	
9. Standards Code / TBT	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	3	2	5	27	13	40	
10. SPS	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	19	18	37	
11. Other legal provisions ³	3	0	2	3	3	14	25	71	50	121	
I. Agricultural Products (AoA definition)	Number of disputes	19	0	5	13	22	58	117	69	67	136
	1. GATT	19	0	5	13	16	48	101	55	63	118
	<i>A. Adjusted (see Annex 1)</i>	19	0	5	13	14	47	98	36	40	76
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	32	36	68
	2. Agriculture	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	28	21	49
	3. Anti-dumping	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	1	1	9	7	16
	4. Customs Valuation	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	5	2	7
	5. Government Procurement	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	0	0	0
	6. Import Licensing	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	1	1	14	4	18
	7. Safeguards	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	12	3	15
	8. Subsidies and CVDs	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	8	7	15	4	15	19
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	5	5	2	6	8
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	8	1	9	3	11	14	
9. Standards Code / TBT	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	1	2	9	11	20	
10. SPS	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	10	17	27	
11. Other legal provisions ³	1	0	0	1	0	10	12	10	13	23	

Prod. Type	Agreement or Code	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3. Post-Kennedy R. (1968-1972)	4. During Tokyo. R. (1973-1979)	5 .Post-Tokyo. R. (1980-1985)	6. During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	22	0	2	8	24	52	108	107	95	202
	1. GATT	22	0	2	8	19	35	86	78	88	166
	<i>A. Adjusted (see Annex 1)</i>	22	0	2	8	17	28	77	45	48	93
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	42	33	75
	2. Agriculture	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>		1	1
	3. Anti-dumping	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	13	14	24	43	67
	4. Customs Valuation	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	2		2
	5. Government Procurement	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	3	5	2		2
	6. Import Licensing	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	4	2	6
	7. Safeguards	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	7	17	24
	8. Subsidies and CVDs	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	8	10	27	26	53
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	2	0	7	13	20
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	6	8	24	23	47	
9. Standards Code / TBT	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	1	3	17	1	18	
10. SPS	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	7	0	7	
11. Other legal provisions ³	0	0	1	1	3	4	9	44	32	76	
III. Generic / Mix of products	Number of disputes	18	0	2	3	7	7	37	40	15	55
	1. GATT	18	0	2	3	5	7	35	31	15	46
	<i>A. Adjusted (see Annex 1)</i>	18	0	2	3	5	7	35	28	13	41
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	26	11	37
	2. Agriculture	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	11	5	16
	3. Anti-dumping	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	4	2	6
	4. Customs Valuation	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	3	3	6
	5. Government Procurement	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	1	2	2	0	2
	6. Import Licensing	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	10	1	11
	7. Safeguards	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0
	8. Subsidies and CVDs	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	0	1	11	5	16
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	5	0	5
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	1	0	0	11	5	16	
9. Standards Code / TBT	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	0	0	0	1	1	2	
10. SPS	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	2	1	3	
11. Other legal provisions ³	2	0	1	1	0	0	4	17	5	22	

B. Share of relevant disputes (percentage)

Prod. Type	Agreement or Code	GATT (1948-1994) ¹					GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL	
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)		6.During U. R. (1986-1995)	7.Pre-DDA (1995-2001)		8.During DDA (2002-2011)
All Goods	Total number of disputes on Goods	100	n.a.	100	100	100	100	100	100	100	
	1. GATT	100	n.a.	100	100	75.5	76.9	84.7	75.9	93.8	84.0
	<i>A. Adjusted (see Annex 1)</i>	<i>100</i>	<i>n.a.</i>	<i>100</i>	<i>100</i>	<i>67.9</i>	<i>70.1</i>	<i>80.2</i>	<i>50.5</i>	<i>57.1</i>	<i>53.4</i>
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>46.3</i>	<i>45.2</i>	<i>45.8</i>
	2. Agriculture	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	18.1	15.3	16.8
	3. Anti-dumping	n.a.	n.a.	n.a.	n.a.	3.8	3.8	3.8	17.1	29.4	22.6
	4. Customs Valuation	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	4.6	2.8	3.8
	5. Government Procurement	n.a.	n.a.	n.a.	n.a.	5.7	3.4	2.7	1.9	0.0	1.0
	6. Import Licensing	n.a.	n.a.	n.a.	n.a.	0.0	0.9	0.4	13.0	4.0	8.9
	7. Safeguards	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	8.8	11.3	9.9
	8. Subsidies and CVDs	n.a.	n.a.	n.a.	n.a.	20.8	12.8	9.9	19.4	26.0	22.4
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>3.8</i>	<i>9.4</i>	<i>5.0</i>	<i>6.5</i>	<i>10.7</i>	<i>8.4</i>
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>17.0</i>	<i>3.4</i>	<i>5.0</i>	<i>17.6</i>	<i>22.0</i>	<i>19.6</i>	
9. Standards Code / TBT	n.a.	n.a.	n.a.	n.a.	5.7	1.7	1.9	12.5	7.3	10.2	
10. SPS	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	8.8	10.2	9.4	
11. Other legal provisions /3	5.1	n.a.	22.2	12.5	5.7	12.0	9.5	32.9	28.2	30.8	
I. Agricultural Products (AoA definition)	Number of disputes	100	n.a.	100	100	100	100	100	100	100	
	1. GATT	100	n.a.	100	100	72.7	82.8	86.3	79.7	94.0	86.8
	<i>A. Adjusted (see Annex 1)</i>	<i>100</i>	<i>n.a.</i>	<i>100</i>	<i>100</i>	<i>63.6</i>	<i>81.0</i>	<i>83.8</i>	<i>52.2</i>	<i>59.7</i>	<i>55.9</i>
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>46.4</i>	<i>53.7</i>	<i>50.0</i>
	2. Agriculture	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	40.6	31.3	36.0
	3. Anti-dumping	n.a.	n.a.	n.a.	n.a.	0.0	1.7	0.9	13.0	10.4	11.8
	4. Customs Valuation	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	7.2	3.0	5.1
	5. Government Procurement	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	0.0	0.0	0.0
	6. Import Licensing	n.a.	n.a.	n.a.	n.a.	0.0	1.7	0.9	20.3	6.0	13.2
	7. Safeguards	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	17.4	4.5	11.0
	8. Subsidies and CVDs	n.a.	n.a.	n.a.	n.a.	36.4	12.1	12.8	5.8	22.4	14.0
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>0.0</i>	<i>8.6</i>	<i>4.3</i>	<i>2.9</i>	<i>9.0</i>	<i>5.9</i>
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>36.4</i>	<i>1.7</i>	<i>7.7</i>	<i>4.3</i>	<i>16.4</i>	<i>10.3</i>	
9. Standards Code / TBT	n.a.	n.a.	n.a.	n.a.	4.5	1.7	1.7	13.0	16.4	14.7	
10. SPS	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	14.5	25.4	19.9	
11. Other legal provisions ³	5.3	n.a.	0.0	7.7	0.0	17.2	10.3	14.5	19.4	16.9	

Prod. Type	Agreement or Code	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	1. GATT	100	n.a.	100	100	79.2	67.3	79.6	72.9	92.6	82.2
	<i>A. Adjusted (see Annex 1)</i>	<i>100</i>	<i>n.a.</i>	<i>100</i>	<i>100</i>	<i>70.8</i>	<i>53.8</i>	<i>71.3</i>	<i>42.1</i>	<i>50.5</i>	<i>46.0</i>
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>39.3</i>	<i>34.7</i>	<i>37.1</i>
	2. Agriculture	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	0.0	1.1	0.5
	3. Anti-dumping	n.a.	n.a.	n.a.	n.a.	4.2	25.0	13.0	22.4	45.3	33.2
	4. Customs Valuation	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	1.9	0.0	1.0
	5. Government Procurement	n.a.	n.a.	n.a.	n.a.	8.3	5.8	4.6	1.9	0.0	1.0
	6. Import Licensing	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	3.7	2.1	3.0
	7. Safeguards	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	6.5	17.9	11.9
	8. Subsidies and CVDs	n.a.	n.a.	n.a.	n.a.	8.3	15.4	9.3	25.2	27.4	26.2
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>0.0</i>	<i>3.8</i>	<i>0.0</i>	<i>6.5</i>	<i>13.7</i>	<i>9.9</i>
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>8.3</i>	<i>11.5</i>	<i>7.4</i>	<i>22.4</i>	<i>24.2</i>	<i>23.3</i>	
9. Standards Code / TBT	n.a.	n.a.	n.a.	n.a.	8.3	1.9	2.8	15.9	1.1	8.9	
10. SPS	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	6.5	0.0	3.5	
11. Other legal provisions ³	0.0	n.a.	50.0	12.5	12.5	7.7	8.3	41.1	33.7	37.6	
III. Generic / Mix of products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	1. GATT	100	n.a.	100	100	71.4	100	94.6	77.5	100	83.6
	<i>A. Adjusted (see Annex 1)</i>	<i>100</i>	<i>n.a.</i>	<i>100</i>	<i>100</i>	<i>71.4</i>	<i>100</i>	<i>94.6</i>	<i>70.0</i>	<i>86.7</i>	<i>74.5</i>
	<i>B. Adjusted less Article X</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>65.0</i>	<i>73.3</i>	<i>67.3</i>
	2. Agriculture	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	27.5	33.3	29.1
	3. Anti-dumping	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	10.0	13.3	10.9
	4. Customs Valuation	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	7.5	20.0	10.9
	5. Government Procurement	n.a.	n.a.	n.a.	n.a.	14.3	14.3	5.4	5.0	0.0	3.6
	6. Import Licensing	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	25.0	6.7	20.0
	7. Safeguards	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0
	8. Subsidies and CVDs	n.a.	n.a.	n.a.	n.a.	14.3	0.0	2.7	27.5	33.3	29.1
	<i>A. CVDs</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>12.5</i>	<i>0.0</i>	<i>9.1</i>
<i>B. Subsidies</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>n.a.</i>	<i>14.3</i>	<i>0.0</i>	<i>0.0</i>	<i>27.5</i>	<i>33.3</i>	<i>29.1</i>	
9. Standards Code / TBT	n.a.	n.a.	n.a.	n.a.	0.0	0.0	0.0	2.5	6.7	3.6	
10. SPS	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.	5.0	6.7	5.5	
11. Other legal provisions ³	11.1	n.a.	50.0	33.3	0.0	0.0	10.8	42.5	33.3	40.0	

Source: Own calculation.

Notes: n.a. not available or not applicable. /1 Based on 262 disputes under the GATT. /2 Based on 393 disputes on goods under the WTO. It excludes 25 requests for consultations relating mainly to intellectual property issues and 9 relating mainly to the GATS. See Annex 1 of the main paper. /3 For the GATT 1947 includes references to the Protocol of Provisional Application, the Multifiber Agreement, and Agreement on Civil Aircraft. For the WTO, it includes the Agreements on Textiles and Clothing, Rules of Origin, and TRIMS.

Table A.3.
Articles of the GATT Cited in GATT and WTO Disputes on Goods,
By Type of Product, and Time Period (Unadjusted)
(1948-2011)

A. Number of disputes citing the Agreement

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
All Goods	Total number of disputes on Goods	59	0	9	24	53	117	262	216	177	393
	III	16	0	1	3	7	29	56	70	57	127
	I	10	0	3	5	8	21	47	57	46	103
	XI	14	0	5	9	16	45	89	59	33	92
	II	17	0	2	5	14	20	58	45	36	81
	VI	2	0		2	4	15	23	26	54	80
	X	1	0		2	2	8	13	30	46	76
	XIX	4	0	1	2	3	1	11	17	21	38
	XIII	4	0	1	5	9	15	34	18	15	33
	VIII	2	0	1	2	1	5	11	9	3	12
	XVI	4	0		7	5	4	20	2	9	11
	VII	1	0					1	5	4	9
	V		0			1	1	2	4	4	8
	XVII	1	0		2	2	3	8	5	2	7
	XVIII	1	0				5	6	6	0	6
XV	2	0		1			3	1	1	2	
IX	1	0				1	2	0	2	2	
XII	6	0		1	1		8	0	0	0	
I. Agricultural Products (AoA definition)	Number of disputes	19	0	5	13	22	58	117	69	67	136
	III	2	0		3	2	17	24	23	33	56
	XI	5	0	4	6	2	29	46	19	22	41
	II	5	0	1	4	8	15	33	21	17	38
	I	2	0		4	3	7	16	18	20	38
	X	1	0				6	7	12	11	23
	VI	1	0				4	5	6	9	15
	XIX	1	0	1		1	1	4	11	3	14
	XIII	1	0	1	2	1	12	17	3	6	9
	XVI	4	0		3	5	3	15	1	4	5
	VII	1	0					1	3	2	5
	VIII		0		2		2	4	3	0	3
	XVII	1	0		2		1	4	1	2	3
	IX	1	0				1	2	0	2	2
	XV		0		1			1	0	1	1
XVIII		0				4	4	0	0	0	
XII	1	0		1			2	0	0	0	

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	22	0	2	8	24	52	108	107	95	202
	VI	1	0			4	11	16	15	43	58
	III	9	0			4	10	23	36	17	53
	I	5	0	1	1	2	11	20	30	21	51
	X		0		2	2	2	6	10	30	40
	XI	4	0	1	3	11	13	32	22	8	30
	II	4	0		1	4	4	13	12	15	27
	XIX	3	0		2	2		7	6	18	24
	XIII	1	0		3	6	3	13	12	7	19
	XVI		0		3		1	4	0	5	5
	VIII		0			1	1	2	2	3	5
	XVII		0			1	2	3	2	0	2
	XII	2	0			1		3	0	0	0
XVIII	1	0				1	2	0	0	0	
III. Generic / Mix of products	Number of disputes	18	0	2	3	7	7	37	40	15	55
	XI	5	0			3	3	11	18	3	21
	III	5	0	1		1	2	9	11	7	18
	II	8	0	1		2	1	12	12	4	16
	I	3	0	2		3	3	11	9	5	14
	VI		0		2			2	5	2	7
	XVIII		0						6	0	6
	XIII	2	0			2		4	3	2	5
	VIII	2	0	1			2	5	4	0	4
	V		0			1	1	2	1	1	2
	XVII		0			1		1	2		2
	XV	2	0					2	1	0	1
	XVI		0		1			1	1	0	1
XII	3	0					3	0	0	0	

B. Share of relevant disputes (percentage)

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
All Goods	Total number of disputes on Goods	100	n.a.	100	100	100	100	100	100	100	100
	III	27.1	n.a.	11.1	12.5	13.2	24.8	21.4	32.4	32.2	32.3
	I	16.9	n.a.	33.3	20.8	15.1	17.9	17.9	26.4	26.0	26.2
	XI	23.7	n.a.	55.6	37.5	30.2	38.5	34.0	27.3	18.6	23.4
	II	28.8	n.a.	22.2	20.8	26.4	17.1	22.1	20.8	20.3	20.6
	VI	3.4	n.a.	0.0	8.3	7.5	12.8	8.8	12.0	30.5	20.4
	X	1.7	n.a.	0.0	8.3	3.8	6.8	5.0	13.9	26.0	19.3
	XIX	6.8	n.a.	11.1	8.3	5.7	0.9	4.2	7.9	11.9	9.7
	XIII	6.8	n.a.	11.1	20.8	17.0	12.8	13.0	8.3	8.5	8.4
	VIII	3.4	n.a.	11.1	8.3	1.9	4.3	4.2	4.2	1.7	3.1
	XVI	6.8	n.a.	0.0	29.2	9.4	3.4	7.6	0.9	5.1	2.8
	VII	1.7	n.a.	0.0	0.0	0.0	0.0	0.4	2.3	2.3	2.3
	V	0.0	n.a.	0.0	0.0	1.9	0.9	0.8	1.9	2.3	2.0
	XVII	1.7	n.a.	0.0	8.3	3.8	2.6	3.1	2.3	1.1	1.8
	XVIII	1.7	n.a.	0.0	0.0	0.0	4.3	2.3	2.8	0.0	1.5
	XV	3.4	n.a.	0.0	4.2	0.0	0.0	1.1	0.5	0.6	0.5
IX	1.7	n.a.	0.0	0.0	0.0	0.9	0.8	0.0	1.1	0.5	
XII	10.2	n.a.	0.0	4.2	1.9	0.0	3.1	0.0	0.0	0.0	
I. Agricultural Products (AoA definition)	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	III	10.5	n.a.	0.0	23.1	9.1	29.3	20.5	33.3	49.3	41.2
	XI	26.3	n.a.	80.0	46.2	9.1	50.0	39.3	27.5	32.8	30.1
	II	26.3	n.a.	20.0	30.8	36.4	25.9	28.2	30.4	25.4	27.9
	I	10.5	n.a.	0.0	30.8	13.6	12.1	13.7	26.1	29.9	27.9
	X	5.3	n.a.	0.0	0.0	0.0	10.3	6.0	17.4	16.4	16.9
	VI	5.3	n.a.	0.0	0.0	0.0	6.9	4.3	8.7	13.4	11.0
	XIX	5.3	n.a.	20.0	0.0	4.5	1.7	3.4	15.9	4.5	10.3
	XIII	5.3	n.a.	20.0	15.4	4.5	20.7	14.5	4.3	9.0	6.6
	XVI	21.1	n.a.	0.0	23.1	22.7	5.2	12.8	1.4	6.0	3.7
	VII	5.3	n.a.	0.0	0.0	0.0	0.0	0.9	4.3	3.0	3.7
	VIII	0.0	n.a.	0.0	15.4	0.0	3.4	3.4	4.3	0.0	2.2
	XVII	5.3	n.a.	0.0	15.4	0.0	1.7	3.4	1.4	3.0	2.2
	IX	5.3	n.a.	0.0	0.0	0.0	1.7	1.7	0.0	3.0	1.5
XV	0.0	n.a.	0.0	7.7	0.0	0.0	0.9	0.0	1.5	0.7	
XVIII	0.0	n.a.	0.0	0.0	0.0	6.9	3.4	0.0	0.0	0.0	
XII	5.3	n.a.	0.0	7.7	0.0	0.0	1.7	0.0	0.0	0.0	

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	VI	4.5	n.a.	0.0	0.0	16.7	21.2	14.8	14.0	45.3	28.7
	III	40.9	n.a.	0.0	0.0	16.7	19.2	21.3	33.6	17.9	26.2
	I	22.7	n.a.	50.0	12.5	8.3	21.2	18.5	28.0	22.1	25.2
	X	0.0	n.a.	0.0	25.0	8.3	3.8	5.6	9.3	31.6	19.8
	XI	18.2	n.a.	50.0	37.5	45.8	25.0	29.6	20.6	8.4	14.9
	II	18.2	n.a.	0.0	12.5	16.7	7.7	12.0	11.2	15.8	13.4
	XIX	13.6	n.a.	0.0	25.0	8.3	0.0	6.5	5.6	18.9	11.9
	XIII	4.5	n.a.	0.0	37.5	25.0	5.8	12.0	11.2	7.4	9.4
	XVI	0.0	n.a.	0.0	37.5	0.0	1.9	3.7	0.0	5.3	2.5
	VIII	0.0	n.a.	0.0	0.0	4.2	1.9	1.9	1.9	3.2	2.5
	XVII	0.0	n.a.	0.0	0.0	4.2	3.8	2.8	1.9	0.0	1.0
	XII	9.1	n.a.	0.0	0.0	4.2	0.0	2.8	0.0	0.0	0.0
XVIII	4.5	n.a.	0.0	0.0	0.0	1.9	1.9	0.0	0.0	0.0	
III. Generic / Mix of products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	XI	27.8	n.a.	0.0	0.0	42.9	42.9	29.7	45.0	20.0	38.2
	III	27.8	n.a.	50.0	0.0	14.3	28.6	24.3	27.5	46.7	32.7
	II	44.4	n.a.	50.0	0.0	28.6	14.3	32.4	30.0	26.7	29.1
	I	16.7	n.a.	100	0.0	42.9	42.9	29.7	22.5	33.3	25.5
	VI	0.0	n.a.	0.0	66.7	0.0	0.0	5.4	12.5	13.3	12.7
	XVIII	0.0	n.a.	0.0	0.0	0.0	0.0	0.0	15.0	0.0	10.9
	XIII	11.1	n.a.	0.0	0.0	28.6	0.0	10.8	7.5	13.3	9.1
	VIII	11.1	n.a.	50.0	0.0	0.0	28.6	13.5	10.0	0.0	7.3
	V	0.0	n.a.	0.0	0.0	14.3	14.3	5.4	2.5	6.7	3.6
	XVII	0.0	n.a.	0.0	0.0	14.3	0.0	2.7	5.0	0.0	3.6
	XV	11.1	n.a.	0.0	0.0	0.0	0.0	5.4	2.5	0.0	1.8
	XVI	0.0	n.a.	0.0	33.3	0.0	0.0	2.7	2.5	0.0	1.8
XII	16.7	n.a.	0.0	0.0	0.0	0.0	8.1	0.0	0.0	0.0	

Source: Own calculation.

Notes: References to GATT Articles XX, XXI, XXII, XXIII, XXIV and XXVIII were excluded. n.a.: not available or not applicable. /1 Based on 262 disputes under the GATT. /2 Based on 393 disputes on goods under the WTO. It excludes 25 requests for consultations relating mainly to intellectual property issues and 9 relating mainly to the GATS. See Annex 1 of the main paper.

Table A.4.
Articles of the GATT Cited in GATT and WTO Disputes on Goods,
By Type of Product, and Time Period
(Adjusted according to Annex 1 of the Main Paper)
(1948-2011)

A. Number of disputes citing the Agreement

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
All Goods	Total number of disputes on Goods	59	0	9	24	53	117	262	216	177	393
	III	16	0	1	3	6	29	55	44	43	87
	X	1	0	0	2	2	8	13	30	46	76
	I	10	0	3	5	7	18	43	34	17	51
	XI	8	0	4	7	14	45	78	34	17	51
	II	14	0	2	5	13	18	52	25	21	46
	XIII	4	0	1	5	9	15	34	18	15	33
	VIII	2	0	1	2	1	5	11	9	3	12
	VII	1	0	0	0	0	0	1	5	3	8
	V	0	0	0	0	1	1	2	4	4	8
	XVII	1	0	0	2	2	3	8	5	2	7
	XXVIII	0	0	0	0	0	0	0	3	4	7
	XVIII	1	0	0	0	0	5	6	6	0	6
	VI	2	0	0	2	2	7	13	2	0	2
	XIX	4	0	1	2	3	1	11	1	1	2
	XVI	4	0	0	7	3	2	16	0	2	2
XV	2	0	0	1	0	0	3	1	1	2	
IX	1	0	0	0	0	1	2	0	2	2	
XII	6	0	0	1	1	0	8	0	0	0	
I. Agricultural Products (AoA definition)	Number of disputes	19	0	5	13	22	58	117	69	67	136
	III	2	0	0	3	1	17	23	13	22	35
	II	3	0	1	4	7	14	29	11	12	23
	X	1	0	0	0	0	6	7	12	11	23
	I	2	0	0	4	3	7	16	7	8	15
	XI	4	0	3	5	2	29	43	7	7	14
	XIII	1	0	1	2	1	12	17	3	6	9
	XXVIII	0	0	0	0	0	0	0	2	4	6
	VII	1	0	0	0	0	0	1	3	2	5
	VIII	0	0	0	2	0	2	4	3	0	3
	XVII	1	0	0	2	0	1	4	1	2	3
	VI	1	0	0	0	0	3	4	2	0	2
	IX	1	0	0	0	0	1	2	0	2	2
	XV	0	0	0	1	0	0	1	0	1	1
	XIX	1	0	1	0	1	1	4	0	0	0
	XVI	4	0	0	3	3	2	12	0	0	0
XVIII	0	0	0	0	0	4	4	0	0	0	
XII	1	0	0	1	0	0	2	0	0	0	

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	22	0	2	8	24	52	108	107	95	202
	X	0	0	0	2	2	2	6	10	30	40
	III	9	0	0	0	4	10	23	21	15	36
	I	5	0	1	1	1	8	16	18	4	22
	XI	2	0	1	2	9	13	27	12	8	20
	XIII	1	0	0	3	6	3	13	12	7	19
	II	3	0	0	1	4	3	11	9	8	17
	VIII	0	0	0	0	1	1	2	2	3	5
	XIX	3	0	0	2	2	0	7	1	1	2
	XVI	0	0	0	3	0	0	3	0	2	2
	XVII	0	0	0	0	1	2	3	2	0	2
	XXVIII	0	0	0	1	1	0	2	1	0	1
	VI	1	0	0	0	2	4	7	0	0	0
	XII	2	0	0	0	1	0	3	0	0	0
XVIII	1	0	0	0	0	1	2	0	0	0	
III. Generic / Mix of products	Number of disputes	18	0	2	3	7	7	37	40	15	55
	XI	2	0	0	0	3	3	8	15	2	17
	III	5	0	1	0	1	2	9	10	6	16
	I	3	0	2	0	3	3	11	9	5	14
	II	8	0	1	0	2	1	12	5	1	6
	XVIII	0	0	0	0	0	0	0	6	0	6
	XIII	2	0	0	0	2	0	4	3	2	5
	VIII	2	0	1	0	0	2	5	4	0	4
	V	0	0	0	0	1	1	2	1	1	2
	XVII	0	0	0	0	1	0	1	2	0	2
	XV	2	0	0	0	0	0	2	1	0	1
	VI	0	0	0	2	0	0	2	0	0	0
	XVI	0	0	0	1	0	0	1	0	0	0
XII	3	0	0	0	0	0	3	0	0	0	

B. Share of relevant disputes (percentage)

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
All Goods	Total number of disputes on Goods	100	n.a.	100	100	100	100	100	100	100	100
	III	27.1	n.a.	11.1	12.5	11.3	24.8	21.0	20.4	24.3	22.1
	X	1.7	n.a.	0.0	8.3	3.8	6.8	5.0	13.9	26.0	19.3
	I	16.9	n.a.	33.3	20.8	13.2	15.4	16.4	15.7	9.6	13.0
	XI	13.6	n.a.	44.4	29.2	26.4	38.5	29.8	15.7	9.6	13.0
	II	23.7	n.a.	22.2	20.8	24.5	15.4	19.8	11.6	11.9	11.7
	XIII	6.8	n.a.	11.1	20.8	17.0	12.8	13.0	8.3	8.5	8.4
	VIII	3.4	n.a.	11.1	8.3	1.9	4.3	4.2	4.2	1.7	3.1
	VII	1.7	n.a.	0.0	0.0	0.0	0.0	0.4	2.3	1.7	2.0
	V	0.0	n.a.	0.0	0.0	1.9	0.9	0.8	1.9	2.3	2.0
	XVII	1.7	n.a.	0.0	8.3	3.8	2.6	3.1	2.3	1.1	1.8
	XXVIII	0.0	n.a.	0.0	0.0	0.0	0.0	0.0	1.4	2.3	1.8
	XVIII	1.7	n.a.	0.0	0.0	0.0	4.3	2.3	2.8	0.0	1.5
	VI	3.4	n.a.	0.0	8.3	3.8	6.0	5.0	0.9	0.0	0.5
	XIX	6.8	n.a.	11.1	8.3	5.7	0.9	4.2	0.5	0.6	0.5
	XVI	6.8	n.a.	0.0	29.2	5.7	1.7	6.1	0.0	1.1	0.5
XV	3.4	n.a.	0.0	4.2	0.0	0.0	1.1	0.5	0.6	0.5	
IX	1.7	n.a.	0.0	0.0	0.0	0.9	0.8	0.0	1.1	0.5	
XII	10.2	n.a.	0.0	4.2	1.9	0.0	3.1	0.0	0.0	0.0	
I. Agricultural Products (AoA definition)	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	III	10.5	n.a.	0.0	23.1	4.5	29.3	19.7	18.8	32.8	25.7
	II	15.8	n.a.	20.0	30.8	31.8	24.1	24.8	15.9	17.9	16.9
	X	5.3	n.a.	0.0	0.0	0.0	10.3	6.0	17.4	16.4	16.9
	I	10.5	n.a.	0.0	30.8	13.6	12.1	13.7	10.1	11.9	11.0
	XI	21.1	n.a.	60.0	38.5	9.1	50.0	36.8	10.1	10.4	10.3
	XIII	5.3	n.a.	20.0	15.4	4.5	20.7	14.5	4.3	9.0	6.6
	XXVIII	0.0	n.a.	0.0	0.0	0.0	0.0	0.0	2.9	6.0	4.4
	VII	5.3	n.a.	0.0	0.0	0.0	0.0	0.9	4.3	3.0	3.7
	VIII	0.0	n.a.	0.0	15.4	0.0	3.4	3.4	4.3	0.0	2.2
	XVII	5.3	n.a.	0.0	15.4	0.0	1.7	3.4	1.4	3.0	2.2
	VI	5.3	n.a.	0.0	0.0	0.0	5.2	3.4	2.9	0.0	1.5
	IX	5.3	n.a.	0.0	0.0	0.0	1.7	1.7	0.0	3.0	1.5
	XV	0.0	n.a.	0.0	7.7	0.0	0.0	0.9	0.0	1.5	0.7
	XIX	5.3	n.a.	20.0	0.0	4.5	1.7	3.4	0.0	0.0	0.0
	XVI	21.1	n.a.	0.0	23.1	13.6	3.4	10.3	0.0	0.0	0.0
XVIII	0.0	n.a.	0.0	0.0	0.0	6.9	3.4	0.0	0.0	0.0	
XII	5.3	n.a.	0.0	7.7	0.0	0.0	1.7	0.0	0.0	0.0	

Prod. Type	GATT Article	GATT (1948-1994) ¹						GATT 1947 TOTAL	WTO (1995-2011) ²		WTO TOTAL
		1.Earlier days (1948-1963)	2. During Kennedy R. (1964-1967)	3.Post-Kennedy R. (1968-1972)	4.During Tokyo. R. (1973-1979)	5.Post-Tokyo. R. (1980-1985)	6.During U. R. (1986-1995)		7.Pre-DDA (1995-2001)	8.During DDA (2002-2011)	
II. Non-Agricultural Products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	X	0.0	n.a.	0.0	25.0	8.3	3.8	5.6	9.3	31.6	19.8
	III	40.9	n.a.	0.0	0.0	16.7	19.2	21.3	19.6	15.8	17.8
	I	22.7	n.a.	50.0	12.5	4.2	15.4	14.8	16.8	4.2	10.9
	XI	9.1	n.a.	50.0	25.0	37.5	25.0	25.0	11.2	8.4	9.9
	XIII	4.5	n.a.	0.0	37.5	25.0	5.8	12.0	11.2	7.4	9.4
	II	13.6	n.a.	0.0	12.5	16.7	5.8	10.2	8.4	8.4	8.4
	VIII	0.0	n.a.	0.0	0.0	4.2	1.9	1.9	1.9	3.2	2.5
	XIX	13.6	n.a.	0.0	25.0	8.3	0.0	6.5	0.9	1.1	1.0
	XVI	0.0	n.a.	0.0	37.5	0.0	0.0	2.8	0.0	2.1	1.0
	XVII	0.0	n.a.	0.0	0.0	4.2	3.8	2.8	1.9	0.0	1.0
	XXVIII	0.0	n.a.	0.0	12.5	4.2	0.0	1.9	0.9	0.0	0.5
	VI	4.5	n.a.	0.0	0.0	8.3	7.7	6.5	0.0	0.0	0.0
	XII	9.1	n.a.	0.0	0.0	4.2	0.0	2.8	0.0	0.0	0.0
XVIII	4.5	n.a.	0.0	0.0	0.0	1.9	1.9	0.0	0.0	0.0	
III. Generic / Mix of products	Number of disputes	100	n.a.	100	100	100	100	100	100	100	100
	XI	11.1	n.a.	0.0	0.0	42.9	42.9	21.6	37.5	13.3	30.9
	III	27.8	n.a.	50.0	0.0	14.3	28.6	24.3	25.0	40.0	29.1
	I	16.7	n.a.	100	0.0	42.9	42.9	29.7	22.5	33.3	25.5
	II	44.4	n.a.	50.0	0.0	28.6	14.3	32.4	12.5	6.7	10.9
	XVIII	0.0	n.a.	0.0	0.0	0.0	0.0	0.0	15.0	0.0	10.9
	XIII	11.1	n.a.	0.0	0.0	28.6	0.0	10.8	7.5	13.3	9.1
	VIII	11.1	n.a.	50.0	0.0	0.0	28.6	13.5	10.0	0.0	7.3
	V	0.0	n.a.	0.0	0.0	14.3	14.3	5.4	2.5	6.7	3.6
	XVII	0.0	n.a.	0.0	0.0	14.3	0.0	2.7	5.0	0.0	3.6
	XV	11.1	n.a.	0.0	0.0	0.0	0.0	5.4	2.5	0.0	1.8
	VI	0.0	n.a.	0.0	66.7	0.0	0.0	5.4	0.0	0.0	0.0
	XVI	0.0	n.a.	0.0	33.3	0.0	0.0	2.7	0.0	0.0	0.0
XII	16.7	n.a.	0.0	0.0	0.0	0.0	8.1	0.0	0.0	0.0	

Source: Own calculation.

Notes: n.a.: not available or not applicable. References to GATT Articles XX, XXI, XXII, XXIII, XXIV and XXVIII were excluded. Adjusted according to the methodology in Annex 1 of the main paper. /1 Based on 262 disputes under the GATT. /2 Based on 393 disputes on goods under the WTO. It excludes 25 requests for consultations relating mainly to intellectual property issues and 9 relating mainly to the GATS. See Annex 1 of the main paper.

Table A.5.
Disputes Making Reference to "Behind-the-Border" NTBs
(1948-2011)

A. Number of Disputes on Goods

Instit.	Period	Product type			All Goods
		I. Agricultural Products (AoA definition)	II. Non-Agricultural Products	III. Generic / Mixed of products	
GATT 1947 ^{/1}	1948-1949	0	4	4	8
	1950-1959	14	18	13	45
	1960-1969	5	0	2	7
	1970-1979	18	10	4	32
	1980-1989	58	48	10	116
	1990-1994	22	28	4	54
	GATT 1947 Total	117	108	37	262
WTO ^{/2}	1995-1999	51	84	28	163
	2000-2004	50	64	19	133
	2005-2009	30	35	8	73
	2010-2011	5	19	0	24
	WTO Total	136	202	55	393

B. Number of Disputes with "Behind-the-Border" NTBs (SPS, TBT, Subsidies, Article III of the GATT)

Instit.	Period	Product type			All Goods
		I. Agricultural Products (AoA definition)	II. Non-Agricultural Products	III. Generic / Mixed of products	
GATT 1947 ^{/1}	1948-1949	0	0	2	2
	1950-1959	5	9	3	17
	1960-1969	1	0	1	2
	1970-1979	6	3	1	10
	1980-1989	21	13	3	37
	1990-1994	10	6	1	17
	GATT 1947 Total	43	31	11	85
WTO ^{/2}	1995-1999	25	46	16	87
	2000-2004	28	24	8	60
	2005-2009	21	12	5	38
	2010-2011	4	5	0	9
	WTO Total	78	87	29	194

C. Share of Relevant Disputes Dealing with "Behind-the-Border" NTBs (percentage)

Instit.	Period	Product type			All Goods
		I. Agricultural Products (AoA definition)	II. Non-Agricultural Products	III. Generic / Mixed of products	
GATT 1947 ^{/1}	1948-1949	n.a.	0.0	50.0	25.0
	1950-1959	35.7	50.0	23.1	37.8
	1960-1969	20.0	n.a.	50.0	28.6
	1970-1979	33.3	30.0	25.0	31.3
	1980-1989	36.2	27.1	30.0	31.9
	1990-1994	45.5	21.4	25.0	31.5
	GATT 1947 Total	36.8	28.7	29.7	32.4
WTO ^{/2}	1995-1999	49.0	54.8	57.1	53.4
	2000-2004	56.0	37.5	42.1	45.1
	2005-2009	70.0	34.3	62.5	52.1
	2010-2011	80.0	26.3	n.a.	37.5
	WTO Total	57.4	43.1	52.7	49.4

Source: Own calculation.

Notes: n.a.: not available or not applicable. References to GATT Articles XX, XXI, XXII, XXIII, XXIV and XXVIII were excluded. Adjusted according to the methodology in Annex 1 of the main paper.

/1 Based on 262 disputes under the GATT.

/2 Based on 393 disputes on goods under the WTO. It excludes 25 requests for consultations relating mainly to intellectual property issues and 9 relating mainly to the GATS. See Annex 1 of the main paper.

B. FIGURES

Figure B.1.
Share of Disputes Involving the "Core Principles" of the GATT, Adjusted (1948-2011)

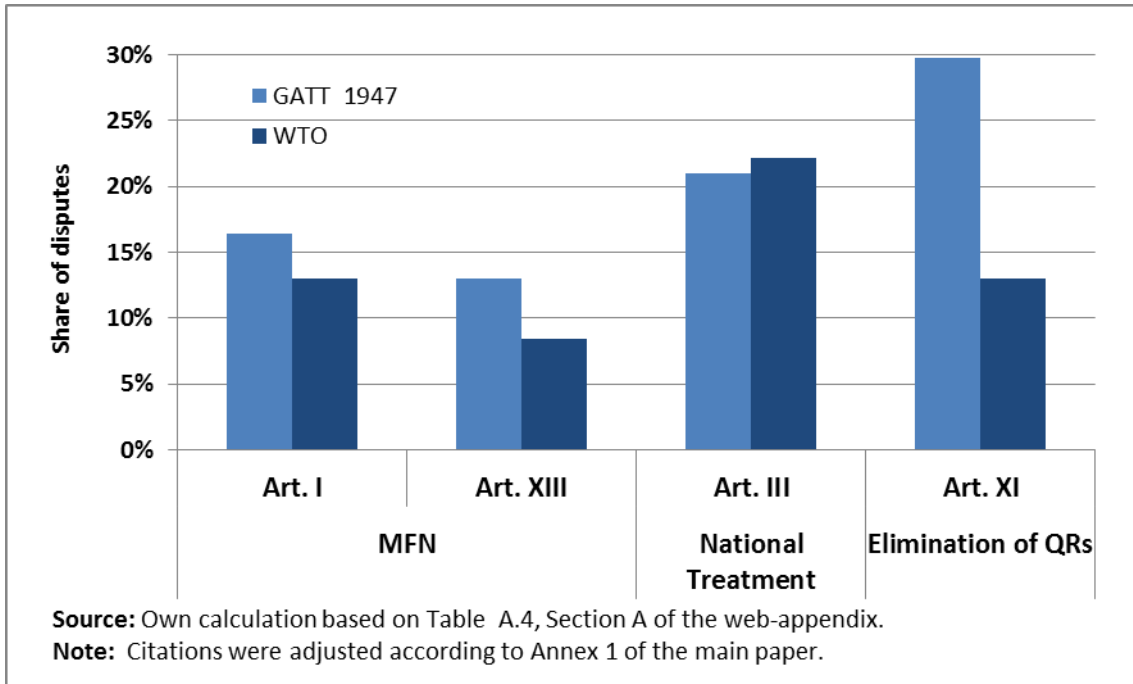


Figure B.2.
Top-5 Type of Provisions Cited in GATT and WTO Disputes (1948-2011)

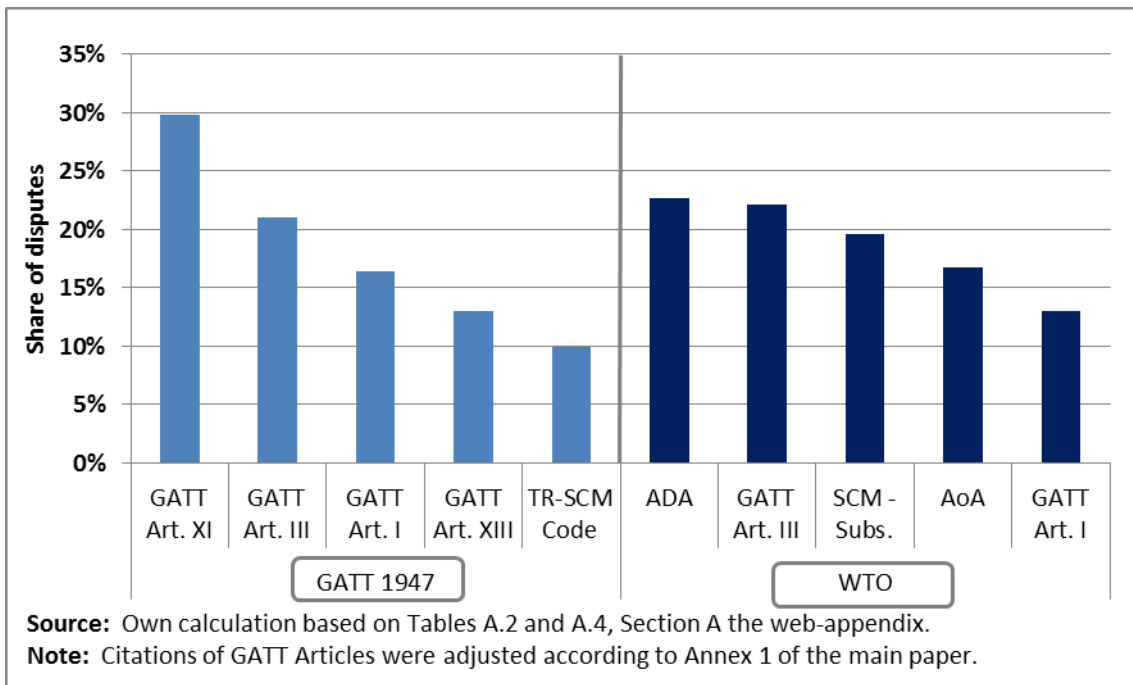


Figure B.3.
Evolution of Trade in Agricultural Products,
As Share of World Merchandise Trade
(1950-2011)

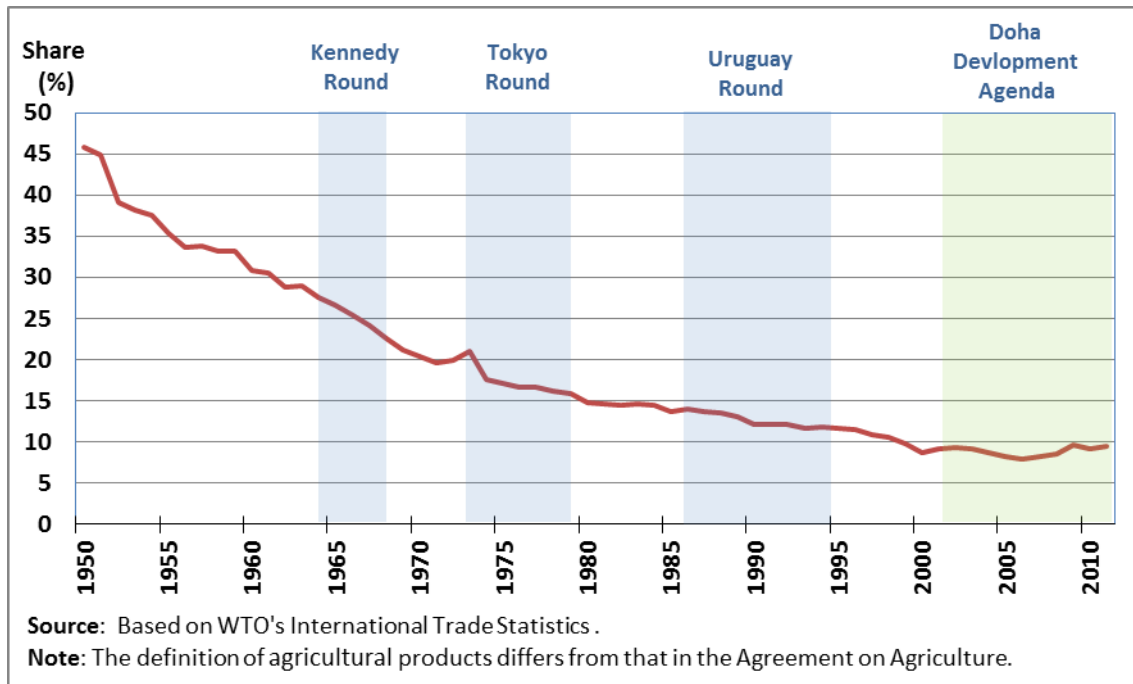


Figure B.4.
Top-5 Types of Provisions Cited in Disputes Involving
Agricultural Products
(1948-2011)

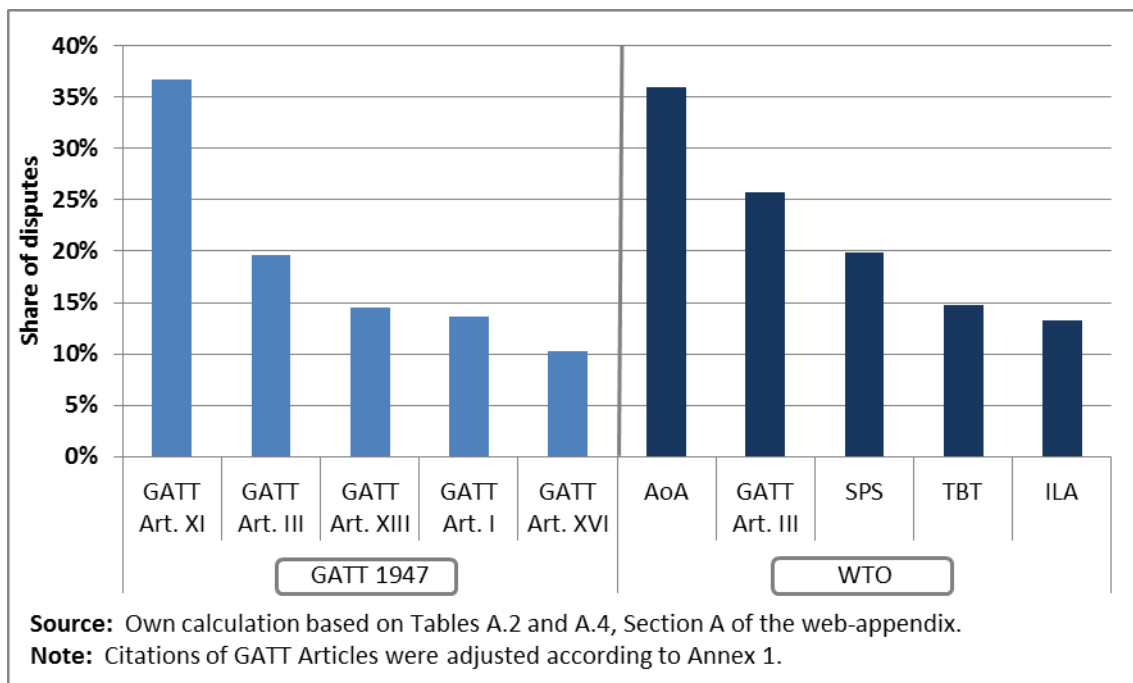


Figure B.5.
Top-5 Types of Provisions Cited in Disputes Involving
Non-Agricultural Products
(1948-2011)

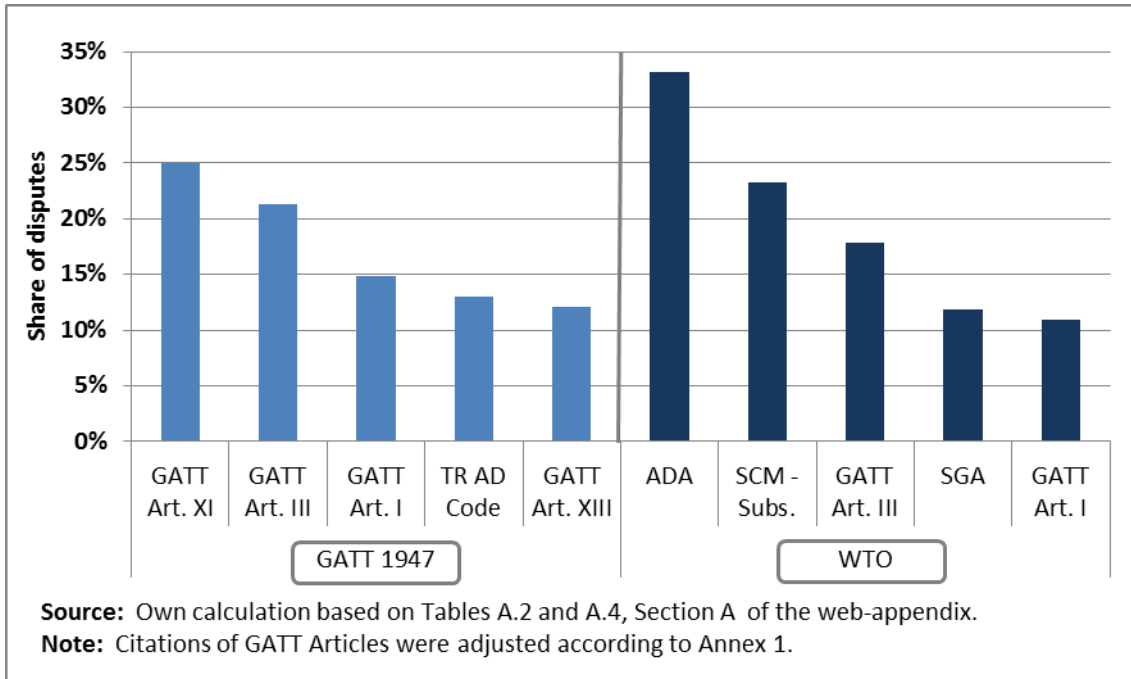


Figure B.6.
Share of Disputes Dealing with "Behind-the-Border" NTBs,
by type of product
(1948-2011)

