# Online appendix for the paper Strong Equivalence of Logic Programs with Ordered Disjunction: a Logical Perspective

published in Theory and Practice of Logic Programming

# A Proofs of Theorem 2 and Theorem 3

This appendix contains the proofs of Theorems 2 and 3 from Section 3.

## Theorem 2

Two LPODs  $P_1$ ,  $P_2$  are strongly equivalent under all the answer sets if and only if they are logically equivalent in four-valued logic.

## Proof

( $\Leftarrow$ ) Assume that  $P_1$  and  $P_2$  are logically equivalent in four-valued logic. Then, every four-valued model that satisfies one of them, also satisfies the other. This means that for all programs P,  $P_1 \cup P$  has the same models as  $P_2 \cup P$ . But then,  $P_1 \cup P$  has the same answer sets as  $P_2 \cup P$  (because the answers sets of a program are the  $\preceq$ -minimal models among all the models of the program). Therefore,  $P_1 \cup P$  and  $P_2 \cup P$  are strongly equivalent under all the answer sets.

 $(\Rightarrow)$  Assume that  $P_1$  and  $P_2$  are strongly equivalent under all the answer sets. Assume, for the sake of contradiction, that  $P_1$  has a model M which is not a model of  $P_2$ . We will show that we can construct an interpretation M' and a program P such that M' is a  $\preceq$ -minimal model of one of  $P_1 \cup P$  and  $P_2 \cup P$  but not of the other, contradicting our assumption of strong equivalence under all the answer sets. The construction of M' and the proof that M' is a model of  $P_1$ , are identical to the corresponding ones in the proof of Theorem 1. We distinguish two cases.

<u>Case 1</u>: M' is not a model of  $P_2$ . We define exactly the same program P as in Case 1 of Theorem 1 and we demonstrate, following the same steps, that M' is a  $\leq$ -minimal model of  $P_1 \cup P$ . This contradicts our assumption of strong equivalence because M' is not even a model of  $P_2 \cup P$  (since we have assumed that it is not a model of  $P_2$ ).

<u>Case 2</u>: M' is a model of  $P_2$ . We define exactly the same program P as in Case 2 of Theorem 1 and we demonstrate, following the same steps, that M' is a  $\leq$ -minimal model of  $P_2 \cup P$ . We then show, following the same steps as in the proof of Theorem 1, that M' is not a  $\leq$ -minimal model of  $P_1 \cup P$ . This contradicts our assumption of strong equivalence under all answer sets.

In conclusion,  $P_1$  and  $P_2$  are logically equivalent.  $\Box$ 

For the proof of Theorem 3 we will make use of the following lemma from the paper by Charalambidis et al. (2021):

## Lemma A.1

Let P be a normal logic program. Then, the answer sets of P (see Definition 7) coincide with the standard answer sets of P.

#### Theorem 3

Let  $P_1$ ,  $P_2$  be normal logic programs. Then,  $P_1$  and  $P_2$  are strongly equivalent under the standard answer set semantics if and only if they have the same three-valued models.

#### Proof

 $(\Leftarrow)$  Assume that  $P_1$  and  $P_2$  have the same three-valued models. This means that for all programs  $P, P_1 \cup P$  has the same three-valued models as  $P_2 \cup P$ . Since  $P_1 \cup P$  and  $P_2 \cup P$  are normal programs, by Lemma A.1 the answer sets coincide with the standard answer sets which are two-valued by definition and therefore the answer sets are the  $\preceq$ -minimal models among the three-valued models of the program. But then,  $P_1 \cup P$  has the same answer sets (and the same standard answer sets) as  $P_2 \cup P$ . Therefore,  $P_1$  and  $P_2$  are strongly equivalent under the standard answer set semantics.

 $(\Rightarrow)$  Assume that  $P_1$  and  $P_2$  are strongly equivalent under the standard answer set semantics. Suppose that  $P_1$  has a three-valued model M which is not a model of  $P_2$ . Without loss of generality, we may assume that M(A) = F, for every atom  $A \in \Sigma$ that does not occur in  $P_1 \cup P_2$ . We will show that we can construct an three-valued interpretation M' and a normal logic program P such that M' is a standard answer set of one of  $P_1 \cup P$  and  $P_2 \cup P$  but not of the other contradicting our assumption of strong equivalence.

Let M' be the two-valued interpretation defined as:

$$M'(A) = \begin{cases} T & M(A) \ge T^* \\ F & \text{otherwise} \end{cases}$$

We claim that M' is a model of  $P_1$ . Since  $P_1$  is a normal logic program all rules are of the form  $C \leftarrow A_1, \ldots, A_m$ , not  $B_1, \ldots$ , not  $B_k$ . If  $M'(A_1, \ldots, A_m, not B_1, \ldots, not B_k) = F$ then the rule is trivially satisfied. If  $M'(A_1, \ldots, A_m, not B_1, \ldots, not B_k) = T$  then it follows that  $M(A_i) \ge T^*$  and  $M(B_j) = F$  for every  $A_i$  and  $B_j$  in the body of the rule and  $M(A_1, \ldots, A_m, not B_1, \ldots, not B_k) \ge T^*$ . Since M is a model of  $P_1$  it satisfies the rule and thus  $M(C) \ge T^*$ . By the construction of M' it follows that M'(C) = T and consequently the rule is satisfied. Lastly, notice that no other values are possible for the body of the rule and therefore we conclude that M' is a model of  $P_1$ .

We proceed by distinguishing two cases that depend on whether M' is a model of  $P_2$  or not.

<u>Case 1</u>: M' is not a model of  $P_2$ . We take P to be  $\{A \leftarrow |M'(A) = T\}$ . It is easy to see that M' is a model of P and thus model of  $P_1 \cup P$ . We show that M' is also a  $\preceq$ -minimal model of  $P_1 \cup P$  and since  $P_1 \cup P$  is a normal logic program M' is also a standard answer set of  $P_1 \cup P$ . Let N be a model of  $P_1 \cup P$  and  $N \prec M'$ . It must exist atom A such that  $N(A) \prec M'(A)$ . Since M' assigns only values T and F, it must be N(A) = F and M(A) = T. But then, N is not a model of P because there is a rule  $A \leftarrow$  in P which leads to contradiction. Therefore, M' is  $\preceq$ -minimal and a standard answer set of  $P_1 \cup P$ . By our initial assumption, M' is not a model of  $P_2$  and thus not a model of  $P_2 \cup P$  which leads to the contradiction that  $P_1$  and  $P_2$  are strongly equivalent. <u>Case 2</u>: M' is a model of  $P_2$ . Let D be an atom in  $\Sigma$  that does not occur in  $P_1 \cup P_2$ . Such atom always exists, since  $\Sigma$  is countably infinite set and  $P_1, P_2$  are finite; moreover, M(D) = F by our assumption about M. We take P to be

$$P = \{A \leftarrow | M(A) = T\} \cup$$
$$\{B \leftarrow A | A \neq B \text{ and } M(A) = T^* \text{ and } M(B) = T^* \} \cup$$
$$\{D \leftarrow not A | M(A) = T^*\}$$

It is easy to see that M' satisfies every rule in P and therefore is a model of both  $P_1 \cup P$ and  $P_2 \cup P$ . We show that M' is a standard answer set of  $P_2 \cup P$  but not of  $P_1 \cup P$ .

We proceed by showing that M' is a  $\leq$ -minimal model of  $P_2 \cup P$  and therefore an answer set of  $P_2 \cup P$  which by Lemma A.1 is also a standard answer set of  $P_2 \cup P$ . Assume there exists a model N of  $P_2 \cup P$  such that  $N \prec M'$ .

We first show that there exists an atom A such that  $M(A) = T^*$  and N(A) = T. Consider an arbitrary atom C. If M(C) = T then it is also N(C) = T, because P contains  $C \leftarrow$  and N is a model of P. If M(C) = F then, by the construction of M' it is M'(C) = Fand since  $N \prec M'$  we get N(C) = F. Therefore if  $M(C) \neq T^*$  then M(C) = N(C). There should be, however, an atom A that occurs in  $P_2$  such that  $N(A) \neq M(A)$  because N is a model of  $P_2$  and M is not. Obviously, for that atom it must be  $M(A) = T^*$  and  $N(A) \neq T^*$ . Notice that there exists a rule  $D \leftarrow not A$  in P where M(D) = F and must be satisfied by N since it is also a model of P. Since M(D) = F implies N(D) = F, the only possibility is N(A) = T.

We next show that there exists an atom B such that  $M(B) = N(B) = T^*$ . Since  $N \prec M'$ , there exists B such that  $N(B) \prec M'(B)$ . The last relation immediately implies that  $M'(B) \neq F$  and by the construction of M', it is  $M'(B) \neq T^*$ . Therefore, the only remaining value is M'(B) = T. For that atom, it cannot be M(B) = T because then it is also N(B) = T. It follows, by the construction of M' that  $M(B) = T^*$ . We claim that  $N(B) = T^*$ , that is, it cannot be N(B) = F. Since  $M(B) = T^*$  there exists a rule  $D \leftarrow not B$  where M(D) = F. Since M(D) = F, it is also N(D) = F. If we assume that N(B) = F then N does not satisfy this rule which is a contradiction. Therefore,  $N(B) = T^*$ .

Since  $M(A) = M(B) = T^*$  there exists a rule  $B \leftarrow A$  in P that is not satisfied by N because we showed that  $N(B) = T^*$  and N(A) = T. Therefore, N is not a model of  $P_2 \cup P$  and M' is  $\preceq$ -minimal model of  $P_2 \cup P$ .

In order to conclude the proof, it suffices to show that M' is not a standard answer set of  $P_1 \cup P$ . By the definition of M', it is  $M \preceq M'$ . But since M' is a model of  $P_2$  and M is not, it must be  $M' \neq M$  and thus  $M \prec M'$ . M also satisfies the rules of P and therefore it is a model of  $P_1 \cup P$ . We conclude that M' is not  $\preceq$ -minimal model of  $P_1 \cup P$ and thus not a standard answer set of  $P_1 \cup P$ .  $\square$