

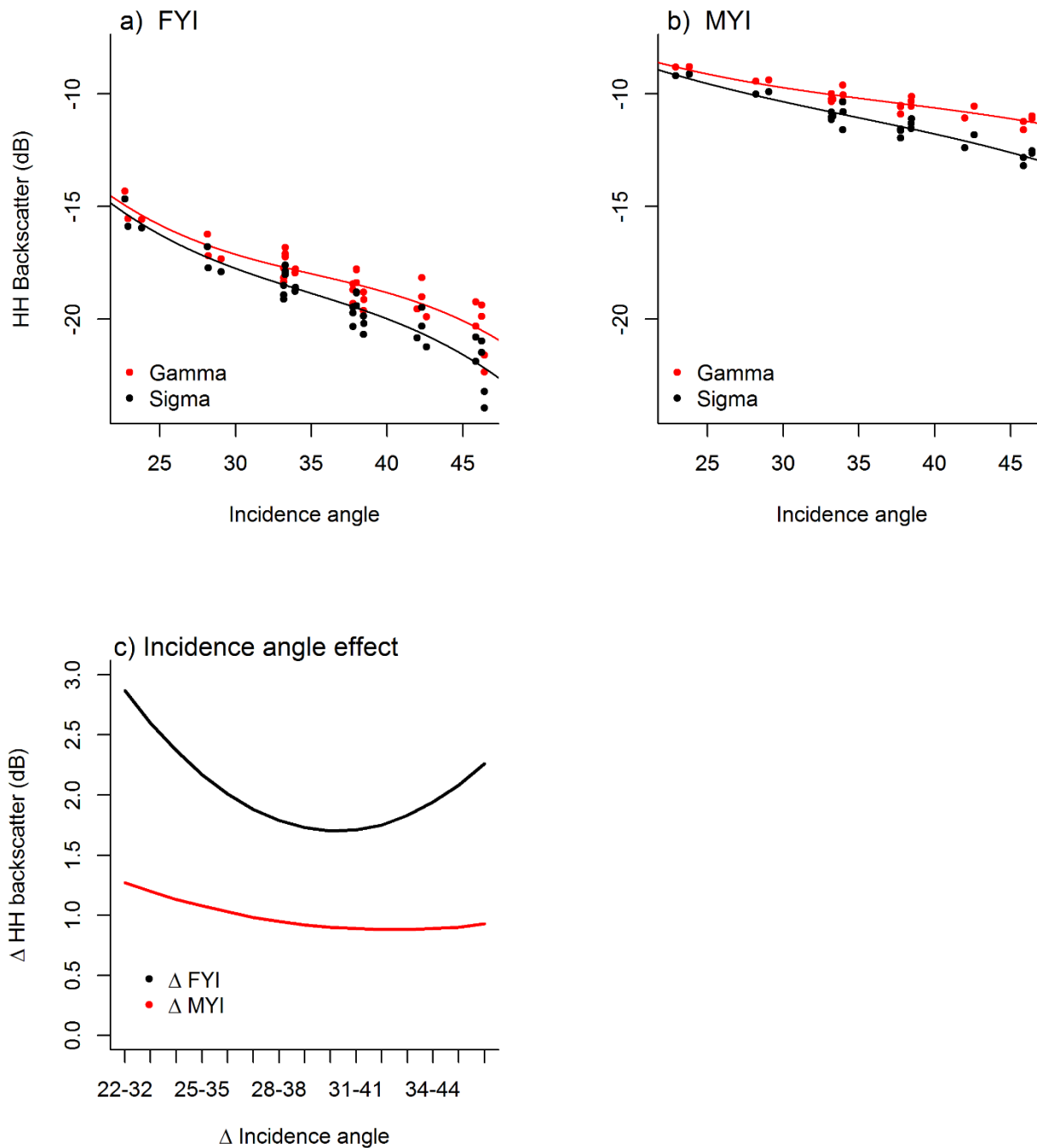
## 6 Supplementary Materials

### 6.1 Study region sea ice regime

Video S1 <Vic\_Strait\_Sent\_1\_2016\_2017.avi> shows a time sequence of Sentinel-1 GRD images over the Victoria Strait region from July 2016 to October 2017. The sea ice began freezing North of Victoria Island, in M'Clintock Channel, where some MYI floes were present (mid-October 2016). Ice formed near land and spread throughout the southern portion of the study region, while open water in M'Clintock Channel and leads in Victoria Strait persisted. Areas of smooth ice formed and persisted in wind- and current-sheltered areas (e.g., part of M'Clintock Channel and Queen Maud Gulf). Victoria Strait showed north-south axis movement of sea ice, and underwent ice deformation processes that continued late into winter, resulting in very rough sea ice. In spring, the sea ice began breaking at the northern and southern edges of Victoria Strait, and the ice in the strait began forming large leads. Open water then formed near land, before appearing in the south and moving northwards.

### 6.2 HH backscatter processing

We processed 17 Sentinel-1 HH-band extra wide swath (EW) scenes captured between 12 March and 15 April 2017 during cold conditions, when the backscatter contribution from sea ice is stable and differences in backscatter between images are due to changes in incidence angle. Image processing consisted of i) thermal noise removal; ii) calibration (both Sigma-nought and Gamma-nought); iii) speckle filtering (Lee 5×5), and iv) map projection. Backscatter statistics and incidence angle were calculated for two FYI regions of interest (ROIs) (19.6 km<sup>2</sup> and 17.6 km<sup>2</sup>) and three MYI ROIs (7.5 km<sup>2</sup>, 9.8 km<sup>2</sup>, and 19.7 km<sup>2</sup>). Results from this analysis are shown in Fig. S1. We chose to use a gamma-nought calibration for the roughness comparisons in order to reduce sensitivity to incidence angle (Fig. S1) (Dierking and Busche, 2006; Dierking and Dall, 2007). Alternatively, some other studies opt to adjust SAR images to remove the effects of incidence angle on backscatter, either by applying scaling or corrections using backscatter from dark and light reference areas (Melling, 1998; Makynen and others, 2002; Martinez-Agirre and others, 2017; Gegiuc and others, 2018).

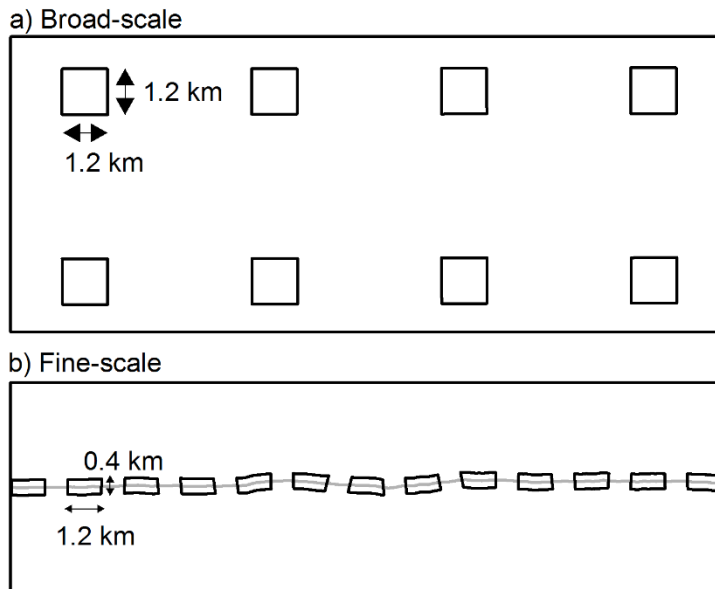


**Fig. S1.** Sentinel-1 HH-band backscatter from a) FYI and b) MYI targets, given as cubic functions of incidence angle for gamma-nought and sigma-nought calibrations. The effect of incidence angle is less for gamma-nought calibration and is similar between FYI and MYI types. In c) the effect of ten-degree incidence angle intervals is shown as HH gamma nought backscatter ranges, for FYI and MYI types.

**Table S1.** Information about the sensors and products used to investigate the effect of incidence angle on Sentinel-1 backscatter over FYI and MYI in M'Clintock Channel, winter 2017.

Platform	Payload	Date (dd-mmm-yy)	Dir/Mode	Orbit (Track)	ID	Format
S1A	SAR-C	16-Mar-17	Des/EW	15718 (71)	CC2C	GRD
S1A	SAR-C	16-Mar-17	Des/EW	15718 (71)	661C	GRD
S1A	SAR-C	20-Mar-17	Des/EW	15776 (129)	E279	GRD
S1A	SAR-C	09-Apr-17	Des/EW	15776 (129)	DFD1	GRD
S1A	SAR-C	11-Apr-17	Des/EW	16097 (100)	E3EA	GRD
S1B	SAR-C	12-Mar-17	Des/EW	4676 (100)	7B4F	GRD
S1B	SAR-C	14-Mar-17	Des/EW	4705 (129)	4ACF	GRD
S1B	SAR-C	17-Mar-17	Des/EW	4749 (173)	0AE8	GRD
S1B	SAR-C	22-Mar-17	Asc/EW	4822 (71)	F378	GRD
S1B	SAR-C	26-Mar-17	Asc/EW	4880 (129)	74C6	GRD
S1B	SAR-C	27-Mar-17	Asc/EW	4895 (144)	B970	GRD
S1B	SAR-C	29-Mar-17	Asc/EW	4924 (173)	55F6	GRD
S1B	SAR-C	31-Mar-17	Asc/EW	4953 (27)	0B83	GRD
S1B	SAR-C	01-Apr-17	Asc/EW	4968 (42)	6869	GRD
S1B	SAR-C	10-Apr-17	Asc/EW	5099 (173)	B132	GRD
S1B	SAR-C	12-Apr-17	Asc/EW	5128 (27)	2A30	GRD
S1B	SAR-C	15-Apr-17	Asc/EW	5172 (71)	DA2D	GRD

Abbreviations are as follows: *S* = Sentinel; *Des* = descending; *Asc* = ascending; *EW* = extended wide-swath; *GRD* = ground range detected.



**Fig. S2.** Schematic showing a) a subset of the broad-scale grid, and b) a subset of the fine-scale grid. In the latter, the LiDAR flight path is shown in grey.

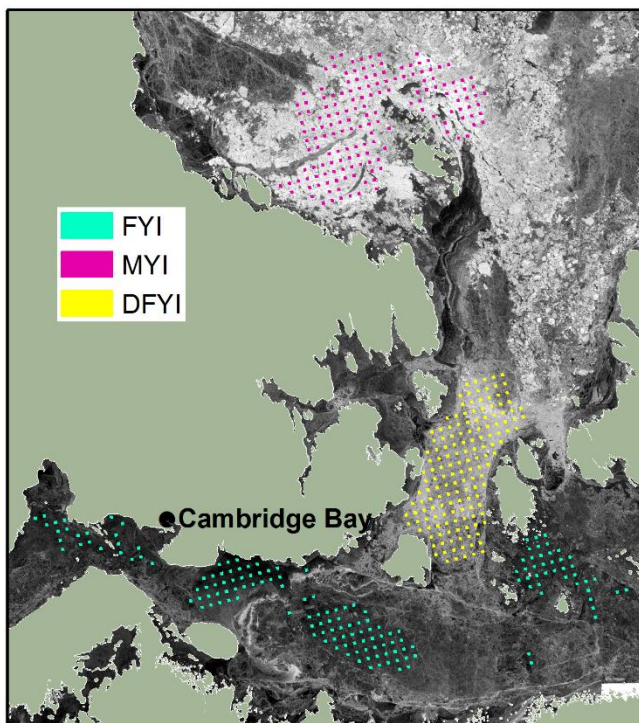
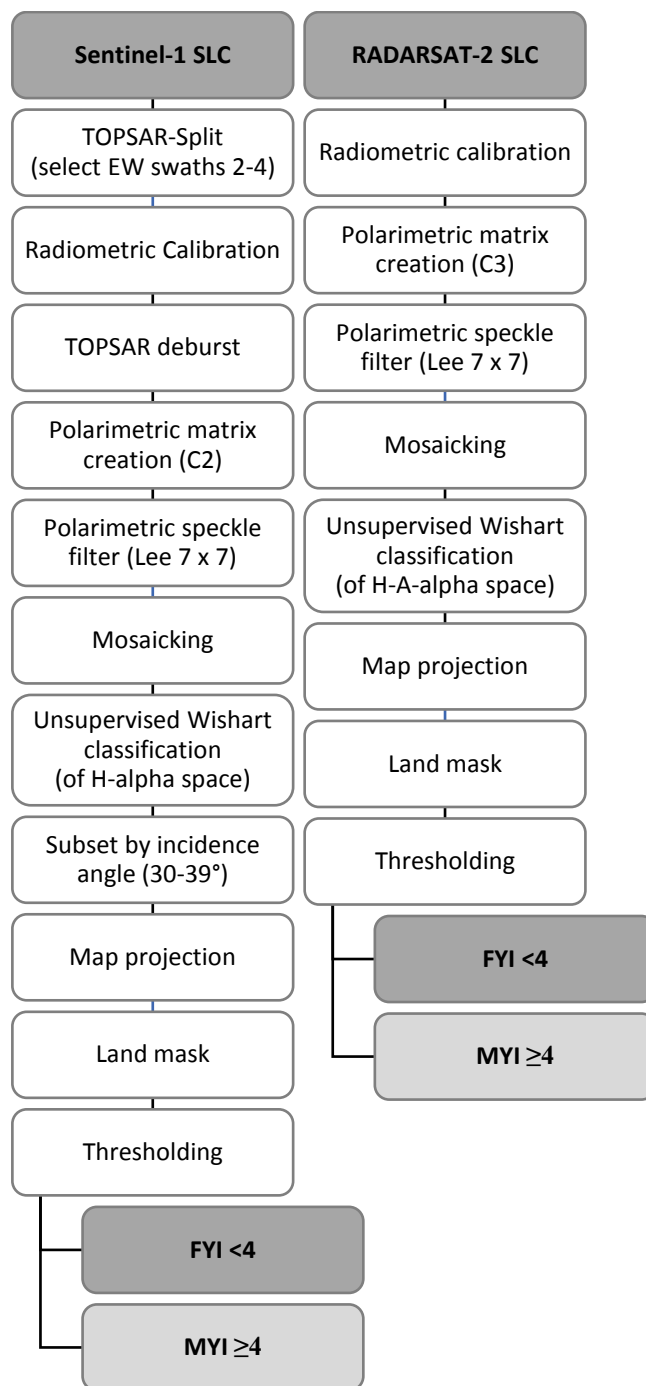
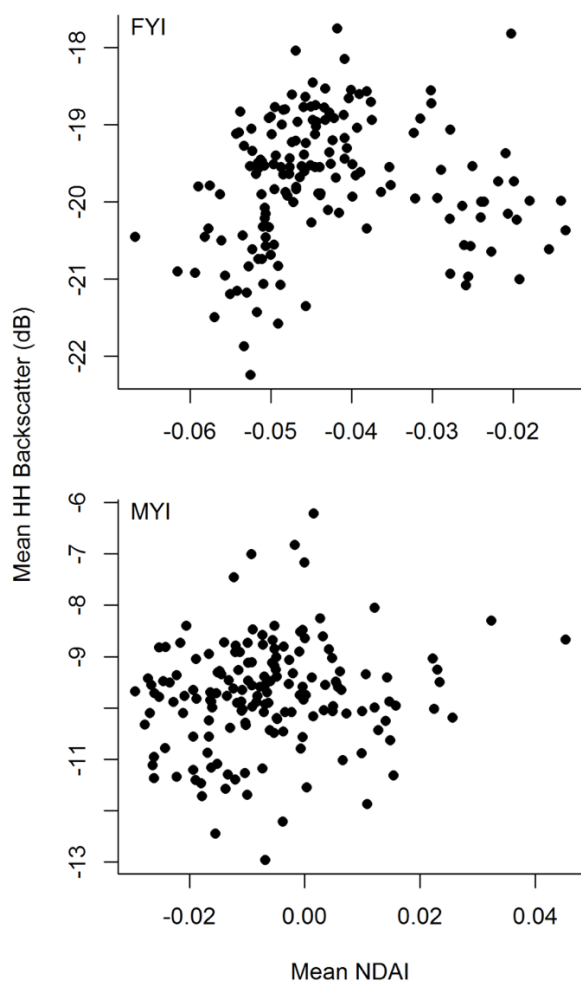


Fig. S3. Locations of grid cells assigned to FYI (blue), MYI (purple), and DFYI (yellow) categories in the broad-scale dataset.



**Fig. S4.** Schematic showing Sentinel-1 and RADARSAT-2 data pre-processing (top to bottom) for data used in MYI classification. Processing is also described in Section 2.4.

### 6.3 NDAI and HH backscatter relationships by ice type



**Fig. S5.** Scatterplot showing Sentinel-1 HH backscatter and mean MISR NDAI for FYI (top) and MYI (bottom) types.

## 6.4 HH backscatter by region

Table S2. FYI C-band HH backscatter observed across Arctic roughness regimes.

Region	FYI		Rough FYI		Incidence angle <sup>1</sup>	Source
	Backscatter (dB)	Value description	Backscatter (dB)	Value description		
Kitikmeot	-18.53 -19.7 -22.2 to -19.7	FYI median FYI mean FYI range	-13.49 -13.3 -17.6 to -10.2	DFYI median DFYI mean DFYI range	30-40°	(This study)
Cornwallis Island, Nunavut	-19.3	FYI mean	-16.1	Rough FYI mean	26.0-45.2°	(Geldsetzer and Yackel, 2009)
Franklin Bay, Northwest Territories	-20.45 -21-74	FYI mean	-11.73 -12.23 -9.66 -10.12	Rough FYI <sup>2</sup> mean DFYI <sup>3</sup> mean	31-33° 35-37° 31-33° 35-37°	(Gill and Yackel, 2012)
Beaufort Sea/ Amundsen Gulf	-23 to -19	FYI range	-14 to -13	DFYI range	30-40°	(Gupta and others, 2014)
Beaufort Sea/ Amundsen Gulf	-22 to -18	FYI range	-14 to -13	DFYI range	30-40°	(Gupta and others, 2013)
Eastern Beaufort Sea	~-22 to -19.8	FYI range	~-12.5	FYI ridge range	20.1-25.9°	(Melling, 1998)
Southwestern Hudson Bay	-13 -15	FYI mean	-10 -7.5 -11.5 -9	Rough FYI mean DFYI mean Rough FYI mean DFYI mean	29° 29° 39° 39°	(Hossain and others, 2014)
Svalbard Fram Strait Barents Sea	-15 to -7.5 -14.5 to -9 -16.5 to -10	FYI range	-11 to -4.5 -6.5 to -4 -11.5 to -6.5	Broken ice range FYI ridge range Brash/rubble range	30-45°	(Dierking, 2010)
Baltic Sea	~ -19 (data points all < -16.5)	Model predictions of >80% level ice	~ -12 (data points all > -17)	Model predictions of <20% level ice	23.2-25.3°; 40.3-42.5°	(Similä and others, 2010)
Baltic Sea	-20 -25 -16 -14	Dry snow; FYI Wet snow; FYI	-17 -21 -13 -17 -10 -13 -12 -11 -11 -11	Dry snow, rough FYI Dry snow, slightly DFYI <sup>4</sup> Dry snow, highly DFYI <sup>5</sup> Wet snow, slightly DFYI Wet snow, highly DFYI	23° 45° 23° 45° 23° 45° 23° 45°	(Mäkynen and Hallikainen, 2004)
Baltic Sea	-15	FYI mean	-9	DFYI mean	45°	(Dierking and Dall, 2007)
Baltic Sea	-13.5 to -11 -16.25 to -14.25	FYI range	-8.25 to -7 -12.5 to -9.5	Rough fast FYI mean	20° 33°	(Eriksson and others, 2010)
Baltic Sea	-21.5 to -10  -21.5 to -10 -21 to -5	FYI range from proportion of observations >0.05 (Jan.) (Feb.) (Mar.)	-19.5 to -19; -18.5 to -11.5  -16 to -10.5 -17 to -11	Ridged ice range from proportion of observations >0.05 (Jan.) (Feb.) (Mar.)	20-49° (corrected)	(Gegiuc and others, 2018)

<sup>1</sup> Limited to 30-40° if possible<sup>2</sup> Broken or uneven FYI with protruding blocks and/or edges<sup>3</sup> Rubble ice, ridges, and/or boulders >1m in size<sup>4</sup> Contains ice ridges and uneven surfaces; level ice components in this zone are usually >100m<sup>5</sup> Level ice components usually <100m, with lower proportional area than DFYI

### 6.5 Other data and recommendations (continued)

Radar systems are sensitive to the sea ice surface at scales of the radar wavelength: the C-band wavelength is ~5.7 cm, L-band ~23.6 cm, and X-band ~3.1 cm (Richards, 2009). Several studies using the longer L-band wavelength have found that deformation features have a higher contrast to smooth ice than higher frequencies and are less sensitive to small-scale roughness, ice type, and spring conditions (Dierking and Busche, 2006; Arkett and others, 2008; Eriksson and others, 2010). L-band HH polarization at larger incidence angles (35-42°) was found to be particularly useful for characterizing surface deformation, and detected a higher fractional area of DFYI than C-band SAR in the Baltic Sea (Dierking and Busche, 2006; Dierking and Dall, 2007), likely because C-band backscatter is very sensitive to small-scale (several wavelength) deformations, which can obscure larger-scale features (Dierking and others, 1997), and makes the contrast between level and deformed ice at L-band higher for small height undulations (e.g., rafted ice) (Dierking and Dall, 2007). L-band SAR has also proven useful during freeze-up, as the frequency is less sensitive to new ice frost-flower roughness and thus backscatter and texture are more related to ice thickness (and larger-scale roughness) (Arkett and others, 2008).

Shorter wavelength X-band SAR is more sensitive to snow and air bubbles in the upper ice layer than lower frequencies, responding with higher volume scattering and consequently shallower penetration into the sea ice (Richards, 2009; Eriksson and others, 2010). This frequency separates level FYI and level MYI from new and young ice more easily than lower frequencies and has greater contrast between sea ice and calm water, making it sensitive to spring melt (Eriksson and others, 2010). The main differences between X- and C-band frequencies are at micro-scale surface interactions (mm-cm; to which L-band is less sensitive), rather than differences related to macro-scale roughness (Richards, 2009; Eriksson and others, 2010). Eriksson et al. (2010) found that co-pol backscatter from X-band appeared similar to C-band, and cross-pol X-band data similar to L-band, with deformation features easier to recognize at L-band than at X-band. While X- and C-band datasets (but not L-band) provide similar information (Mäkynen and Hallikainen, 2004; Eriksson and others, 2010), several studies report that multifrequency data is helpful for identifying roughness (Arkett and others, 2008; Eriksson and others, 2010; Milillo and others, 2015). For example, ice blocks >30 cm on relatively level ice could be identified by their high backscatter across X-, C- and L-band, while smooth level ice exhibited reduced backscatter at lower frequencies (Eriksson and others, 2010). Consequently, access to multiple frequencies would provide more information for community sea ice maps. Unfortunately, the cost (L- and X-band), low temporal resolution (L-band) and small swath size (X-band) of the current X- and L-band satellites makes their current utility for frequent and large-scale data collection limited. Region-based subscription offered by companies like ICEYE, Planet and DigitalGlobe, may prove to be more cost-effective options for monitoring sea ice near particular communities.

Multiple SAR polarization parameters can also have utility for discriminating rough sea ice from level ice, or for identifying degrees of deformation. Studies have found that multiple C-band channel combinations (Drinkwater and others, 1992; Gill and Yackel, 2012), cross-pol ratios (Dierking and Dall, 2007; Gegiuc and others, 2018), co-pol ratios (Dierking and Dall, 2007; Johansson and others, 2017), and other measures of depolarization, intensity, decomposition, etc., can contain information about roughness (Moen and others, 2013; Hossain and others, 2014; Casey and others, 2014; Brekke and others, 2015; Fors and others, 2016), though it remains necessary to interpret the data in the context of seasonal and ice/snow properties (Fors and others, 2015). While multiple polarization parameters can increase the



effectiveness of roughness mapping, there is evidence that the HH channel works well for detecting rough sea ice and is generally as strong as any other single metric (Gill and Yackel, 2012; Fors and others, 2015; Xu and others, 2017; Gegiuc and others, 2018).

Other data types, including multi-angle and stereo-pair optical data, can also provide information on sea ice elevation and roughness. MISR and other optical satellites (e.g., DigitalGlobe's WorldView-1-4, GeoEye-1) or platforms (e.g., airborne or UAV) can capture multiple off-nadir images from one platform or from coincident but separate orbits, allowing for the creation of digital elevation and roughness models (Shean and others, 2016; Dammann and others, 2018; Li, 2018). Fine-scale optical datasets can also assess roughness using ice feature shadows (Zakharov and others, 2015). However, most multi-angle and high-resolution optical images are only commercially available (Table S3). Other methods of obtaining sea ice roughness include CryoSat-2 radar altimetry (Shepherd and others, 2012; Kurtz and others, 2014), although backscatter angle can cause roughness errors. ICESat laser altimetry measures elevation, but ellipsoidal coverage is not continuous and is affected by the roughness found within each ellipse (Kurtz and others, 2008). Scatterometers are sensitive to surface roughness, among other parameters (Nghiem and others, 2007; Remund and Long, 2014; Gupta, 2015).

**Table S3.** Current and near-future satellite-based payloads that may be useful (high quality and/or affordable) for providing sea ice surface trafficability information to northern communities.

<b>Data Type</b>	<b>Programme(s) and relevant payloads</b>	<b>Agency</b>	<b>Availability</b>	<b>Example roughness studies</b>
C-band SAR	Sentinel-1 (SAR-C)	ESA, EC	Open access	<i>(Dierking, 2010; Martinez-Agirre and others, 2017)</i>
C-band SAR	RADARSAT-2 (SAR)	CSA	Restricted	<i>(Gauthier and others, 2010; Ersahin and others, 2014; Hossain and others, 2014; Brekke and others, 2015; Mussells, 2015; Zakharov and others, 2015; Fors and others, 2016; Johansson and others, 2017; Xu and others, 2017; Gegiuc and others, 2018)</i>
C-band SAR	RADARSAT Constellation Mission (SAR RCM)	CSA	Restricted	<i>(Nasonova and others, 2018)</i>
X-band SAR	TerraSAR-X (SAR-X) and TanDEM-X (SAR-X)	DLR	Restricted	<i>(Zakharov and others, 2015; Linow, 2015; Johansson and others, 2017; Dammann and others, 2018; Yitayew and others, 2018)</i>
X-band SAR	COSMO-SkyMed (SAR-2000)	ASI	Restricted	
X-band SAR	ICEYE-X1	ICEYE	Restricted	
X-band SAR	SEOSAR/Paz (SAR-X)	CDTI	Restricted	
L-band SAR	ALOS-2 (PALSAR-2)	JAXA	Restricted	<i>(Johansson and others, 2017; Dammann and others, 2018)</i>
X- and L-band SAR, Panchromatic, Multispectral, NIR; RGB video	OptiSAR, Deimos-1 -2 (SLIM6, HIRAIS); Theia, Iris	Urthecast	Restricted	
Multispectral	Terra (ASTER, MISR)	NASA	Open access	<i>(Nolin and Mar, 2019; Nolin and Payne, 2007; Nolin and others, 2002; Wu and others, 2009; Chust and Sagarminaga, 2007)</i>
Multispectral	Sentinel-2 (MSI)	ESA, EC	Open access	
Panchromatic and multispectral	DigitalGlobe Constellation (WorldView-1-4: WV60, WV110, WV110, SpaceView-110; and GeoEye-1: GIS)	DigitalGlobe	Restricted	<i>(Brekke and others, 2015; Zakharov and others, 2015; Shean and others, 2016)</i>
Multispectral, RGB, and NIR	RapidEye (REIS); SkySat-1; SkySat-2; SkySat-3; SkySat-5; SkySat-6; SkySat-7; Flock-2e; Flock-2e'; Flock-2p; Flock-3p	Planet	Restricted	
Altimeter	Sentinel-3 (SRAL)	ESA, EC, EUMETSAT	Open access	

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