

**Appendix 1: Derivation of  $\delta^2 E$  for Swirling Flow.**

In order to evaluate  $\delta^2 E_p$  we must first find  $u_p \cdot \delta^2 u_p$ . From (2.4) we have,

$$\delta^2 u_p = \frac{1}{2}(\eta_\theta/r)\nabla(\delta^1 \Gamma) - \frac{1}{2}(\delta^1 \omega_\theta/r)\nabla\psi^* + \nabla\phi_2$$

which gives,

$$2u_p \cdot \delta^2 u_p = -(\eta_\theta/r)u_p \cdot \nabla(\eta \cdot \nabla\Gamma) - (\delta^1 \omega_\theta/r)u_p \cdot \nabla\psi^* + \nabla \cdot (2\phi_2 u_p)$$

Noting that  $u_p \cdot \nabla\psi^* = -\eta \cdot \nabla\psi$  we can rewrite this in the form

$$2u_p \cdot \delta^2 u_p = (\eta \cdot \nabla\Gamma)u_p \cdot \nabla(\eta_\theta/r) + (\delta^1 \omega_\theta/r)\eta \cdot \nabla\psi + \nabla \cdot [(\dots)u_p]$$

Now the second term on the right-hand-side may be evaluated with the aid of (8.7) to give

$$(\eta \cdot \nabla\psi)\delta^1 \omega_\theta/r = (\eta \cdot \nabla\psi)\Gamma'(\psi)u \cdot \nabla(\eta_\theta/r) - (\eta \cdot \nabla\psi)\eta \cdot \nabla(\omega_\theta/r)$$

since  $\omega_p = \Gamma'(\psi)u_p$ . Substituting back into the expression for  $u_p \cdot \delta^2 u_p$  gives,

$$2u_p \cdot \delta^2 u_p = 2(\eta \cdot \nabla\Gamma)u_p \cdot \nabla(\eta_\theta/r) - (\eta \cdot \nabla\psi)\eta \cdot \nabla(\omega_\theta/r) + \nabla \cdot [(\dots)u_p]$$

from which we can evaluate  $\delta^2 E_p$ .

$$\delta^2 E_p = \frac{1}{2} \int (\delta^1 u_p)^2 dV + \int [(\eta \cdot \nabla\Gamma)u_p \cdot \nabla(\eta_\theta/r) - \frac{1}{2}(\eta \cdot \nabla\psi)\eta \cdot \nabla(\omega_\theta/r)] dV \quad (A.1)$$

This is equation (8.11). We may derive a different form for  $\delta^2 E$  by introducing the variables,

$$\varepsilon = -\eta \cdot \nabla\psi = \delta^1 \Gamma/\Gamma'(\psi), \quad \phi = \delta^1 \psi$$

Now consider the quantity,

$$\frac{g\varepsilon^2}{2r^2} + \frac{\varepsilon \nabla^2 \phi}{r^2} = \frac{1}{2}(\eta \cdot \nabla\psi)^2 (g/r^2) + (\delta^1 \omega_\theta/r)(\eta \cdot \nabla\psi)$$

Using the expression

$$r^2 \eta \cdot \nabla \left( \frac{\omega_\theta}{r} \right) = g\eta \cdot \nabla\psi - 2\Gamma'(\psi)(\eta_\theta/r)$$

we have,

$$\frac{g\varepsilon^2}{2r^2} + \frac{\varepsilon \nabla^2 \phi}{r^2} = (\eta \cdot \nabla\Gamma)u_p \cdot \nabla(\eta_\theta/r) - \frac{1}{2}(\eta \cdot \nabla\psi)\eta \cdot \nabla(\omega_\theta/r) + \frac{1}{2} \left( \frac{\eta_\theta}{r^3} \right) \eta \cdot \nabla(\Gamma^2)$$

It follows that the total change in energy is,

$$\delta^2 E_p + \delta^2 E_\theta = \frac{1}{2} \int_V [(\nabla\phi)^2 + g\varepsilon^2 + 2\varepsilon\nabla^2\phi] r^{-2} dV$$

Finally, introducing  $\gamma = \varepsilon - \phi$ , and noting that

$$(\varepsilon/r^2)\nabla^2\phi = \varepsilon\nabla \cdot [(\nabla\phi)/r^2]$$

we obtain

$$\delta^2 E = \frac{1}{2} \int_V [g\varepsilon^2 - (\nabla\varepsilon)^2 + (\nabla\gamma)^2] r^{-2} dV \quad (\text{A.2})$$

## Appendix 2: First and Second Variations of the Functional $A(\Psi, \Gamma)$ .

Consider the functional,  $A$ , defined by (8.18). The first variation in  $A$  is

$$\delta^1 A = \int_V \left[ \frac{\nabla \psi \cdot \nabla \phi}{r^2} + \frac{\delta \Gamma}{\Gamma_0(\psi)} \left( \frac{\Gamma_0 \Gamma_0'(\psi)}{r^2} - \frac{H'(\Gamma_0)}{\psi'(\Gamma_0)} \right) - \frac{\omega_\theta}{r} \frac{\delta \Gamma}{\Gamma_0'(\psi)} + \frac{\psi \nabla^2 \phi}{r^2} \right] dV$$

where  $\omega_\theta$  is the azimuthal vorticity of the Euler flow. If we now recall that  $\omega_\theta$  is related to  $H$  and

$\Gamma_0$  through (6.5), and note that,

$$\frac{\nabla \psi \cdot \nabla \phi}{r^2} + \frac{\psi \nabla^2 \phi}{r^2} = \nabla \cdot \left( \frac{\psi \nabla \phi}{r^2} \right)$$

it is clear that  $\delta^1 A = 0$ . The second variation in  $A$  is,

$$\delta^2 A = \int_V \left[ \frac{(\nabla \phi)^2}{2r^2} + \frac{(\delta \Gamma)^2}{2r^2} - \frac{H''(\Gamma_0)(\delta \Gamma)^2}{2} - \frac{\omega_\theta}{r} \frac{\psi''(\Gamma_0)(\delta \Gamma)^2}{2} + \frac{\nabla^2 \phi}{r^2} \frac{\delta \Gamma}{\Gamma_0'(\psi)} \right] dV$$

This may be simplified with the aid of the expression,

$$1 - r^2 H''(\Gamma_0) - \psi''(\Gamma_0)(r\omega_\theta) = g / (\Gamma_0'(\psi))^2$$

to give,

$$\delta^2 A = \frac{1}{2} \int_V \left[ (\nabla \phi)^2 + g \varepsilon^2 + 2\varepsilon \nabla^2 \phi \right] r^{-2} dV$$

Here  $\varepsilon$  is defined in the same way as (8.13a),  $\varepsilon = \delta \Gamma / \Gamma_0'(\psi)$ . Finally, we use the identity,

$$(\varepsilon / r^2) \nabla^2 \phi = \nabla \cdot [\varepsilon (\nabla \phi) / r^2] - (\nabla \varepsilon \cdot \nabla \phi) / r^2$$

to give

$$\delta^2 A = \frac{1}{2} \int_V \left[ g \varepsilon^2 - (\nabla \varepsilon)^2 + (\nabla \gamma)^2 \right] r^{-2} dV$$

where  $\gamma$  is defined as in (8.13b).

### Appendix 3: Derivation of $d^2E$ for Swirling Flows.

Let us first calculate  $d^2E_\theta$ . From (2.4) we have,

$$2d^2u_\theta = -r\boldsymbol{\eta} \cdot \nabla(d^1u_\theta/r) + rd^1\mathbf{u}_p \cdot \nabla(\eta_\theta/r)$$

and it follows that

$$2u_\theta d^2u_\theta = -\Gamma\boldsymbol{\eta} \cdot \nabla(d^1u_\theta/r) + \Gamma d^1\mathbf{u}_p \cdot \nabla(\eta_\theta/r)$$

We now introduce the vector

$$\mathbf{A} = (\eta_\theta/r)\Gamma d^1\mathbf{u}_p + (\eta_\theta/r)\Gamma'(\psi)\phi\mathbf{u}_p - u_\theta d^1u_\theta \boldsymbol{\eta}_p - (\phi/r^2)\Gamma\Gamma'(\psi)\boldsymbol{\eta}_p$$

which has divergence,

$$\nabla \cdot \mathbf{A} = 2u_\theta d^2u_\theta + (\phi/r)^2(\Gamma\Gamma'(\psi))' + 2\Gamma'(\psi)(\phi/r)d^1u_\theta - [\phi\Gamma'(\psi)/r]^2 - \Gamma\Gamma'(\psi)\boldsymbol{\eta} \cdot \nabla\phi/r^2$$

We also have the relationship

$$(d^1u_\theta)^2 = [d^1u_\theta - \Gamma'(\psi)\phi/r]^2 - [\phi\Gamma'(\psi)/r]^2 + 2\Gamma'(\psi)(\phi/r)d^1u_\theta$$

from which,

$$\nabla \cdot \mathbf{A} = 2u_\theta d^2u_\theta + (\phi/r)^2(\Gamma\Gamma'(\psi))' + (d^1u_\theta)^2 - [d^1u_\theta - \Gamma'(\psi)\phi/r]^2 - \Gamma\Gamma'(\psi)\boldsymbol{\eta} \cdot \nabla\phi/r^2$$

It follows that  $d^2E_\theta$  is given by

$$d^2E_\theta = \frac{1}{2} \int_V [d^1\Gamma - \Gamma'(\psi)\phi]^2 r^{-2} dV - \frac{1}{2} \int_V [\phi^2(\Gamma\Gamma'(\psi))' - \Gamma\Gamma'\boldsymbol{\eta} \cdot \nabla\phi] r^{-2} dV$$

In addition, from (9.1) and (9.2) we can find  $d^2E_p$ . Following the procedure in §7 we find,

$$d^2E_p = \frac{1}{2} \int_V [(\nabla\phi)^2 + r^2 H''(\psi)\phi^2] r^{-2} dV - \frac{1}{2} \int_V [\Gamma\Gamma'\boldsymbol{\eta} \cdot \nabla\phi] r^{-2} dV$$

Combining these expressions gives the desired result.

$$d^2E = \frac{1}{2} \int_V [(\nabla\phi)^2 - g\phi^2] r^{-2} dV + \frac{1}{2} \int_V [d^1\Gamma + \boldsymbol{\eta} \cdot \nabla\Gamma]^2 r^{-2} dV$$