Supplementary materials for:

**“Human Rights Institutions, Sovereignty Costs, and Democratization”**

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Appendix 1. List of Human Rights IOs and Treaties.

IOs that announced an intention to promote, advance, or enforce human rights, with the corresponding sovereignty cost score in brackets

African Commission on Human and Peoples' Rights (ACHPR) [7]

African, Caribbean and Pacific Group of States (ACP Group) [5]

Agence de La Francophonie (ACCT) [4]

Andean Parliament [1]

Arab Labour Organization (ALO) [0]

CBSS Commissioner on Democratic Institutions and Human Rights [6]

Central American Integration System [1]

Central European Initiative [1]

Committee for the Development of Sport [0]

Committee of Ministers of the Council of Europe [8]

Commonwealth Secretariat (ComSec) [4]

Community of Portuguese-Speaking Countries [0]

Council of Europe (CE) [7]

Council of the Baltic Sea States (CBSS) [6]

European Centre for Global Interdependence and Solidarity (North-South Centre) [4]

European Centre for Social Welfare Policy and Research (ECSWPR) [0]

European Commission [6]

European Commission for Democracy through Law (Venice Commission) [6]

European Community Humanitarian Office (ECHO) [3]

European Court of Human Rights [9]

European Parliament (EP) [8]

European Union (EU) [9]

European Youth Foundation (EYF) [3]

Inter-American Children's Institute (IACI) [7]

Inter-American Court of Human Rights (IACHR) [7]

Inter-American Indian Institute (IAII) [1]

Intergovernmental TV and Radio Corporation (MIR) [1]

International Bureau of Education (IBE) [4]

International Court of Justice (ICJ) [5]

International Labour Organization (ILO) [7]

International Organization for Migration (IOM) [4]

International Training Centre of the ILO [1]

Latin American Faculty of Social Sciences [0]

Latin American Parliament [4]

League of Arab States (LAS) [2]

Nordic Council of Ministers [2]

North Atlantic Treaty Organization (NATO) [2]

Organization for Economic Cooperation and Development (OECD) [5]

Organization for Security and Cooperation in Europe (OSCE) [8]

Organization of American States (OAS) [4]

Organization of the Islamic Conference (OIC) [3]

OSCE - Office for Democratic Institutions and Human Rights (ODIHR) [9]

Parliamentary Assembly of the Council of Europe [7]

Parliamentary Assembly of the Organization for Security and Cooperation in Europe [6]

Parliamentary Committee of the Central European Initiative [5]

Phare Programme [5]

Programme for Central and Eastern European Development (PROCEED) [1]

Southern African Development Community (SADC) [6]

Steering Committee for Equality between Women and Men [7]

Tacis Programme [1]

United Nations [7]

United Nations Committee on the Exercise of the Inalienable Rights of the Palestinian People [4]

United Nations Economic Commission for Latin America and the Caribbean (ECLAC) [5]

United Nations Educational, Scientific and Cultural Organization (UNESCO) [6]

United Nations High Commissioner for Refugees Executive Committee (UNHCREXC) [8]

United Nations (SPECIAL COMMITTEE ON) Peacekeeping Operations [3]

World Tourism Organization (WTO) [0]

Human Rights Treaties, with the corresponding sovereignty cost score in brackets

Convention on the Freedom of Association and the Right to Organize [2]

Convention Against Torture (CAT) [5]

Convention Relating to the Status of Refugees [3]

Convention Relating to the Status of Stateless Persons [1]

Convention against Discrimination in Education [3]

Convention on the Abolition of Forced Labor [1]

Convention on the Elimination Discrimination Against Women (CEDAW) [6]

Convention on Elimination of Racial Discrimination (CERD) [5]

Convention on Genocide [3]

Convention on Indigenous and Tribal Peoples [1]

Convention on Marriage [1]

Convention on Mercenaries [3]

Convention on Migrant Workers [5]

Convention on Non-Applicability of Statutory Limitations [1]

Convention on Reduction of Statelessness [2]

Convention on Right to Organize & Collective Bargaining [1]

Convention on Rights of Child [5]

Convention on Trafficking in Persons [2]

Covenant on Civil and Political Rights (ICCPR) [4]

Covenant on Economic, Social, and Cultural Rights (ICESCR) [5]

Discrimination (Employment and Occupation) Convention [1]

Employment Policy Convention [1]

Equal Remuneration Convention [1]

Geneva Convention, Protection of Civilians Time of War [2]

Geneva Convention, Treatment of Prisoners of War [2]

Minimum Age Convention [1]

Optional Protocol to the Convention on the Rights Child (Armed Conflict) [5]

Optional Protocol to the Convention on the Rights Child (Sale/Prostitution/Pornography) [5]

Protocol Amending the 1926 Slavery Convention [2]

Protocol I Additional to Geneva Conventions of 1949 [2]

Protocol II Additional to Geneva Conventions of 1949 [2]

Protocol to Prevent, Suppress & Punish Trafficking in Persons [2]

Protocol Relating to the Status of Refugees [4]

Second Protocol to the ICCPR [4]

Supplementary Convention on the Abolition of Slavery [2]

Worst Forms of Child Labor Convention [2]

Appendix Table 1: Alternative measures of regime type, removal of EU states, inclusion of control for development, and control for NGOs.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| VARIABLES | Sov. Costs | Sov. Costs | Sov. Costs | Sov. Costs |
| #HR Institutions | 0.003 | 0.004 | 0.004 | 0.010\*\*\* |
|  | (0.003) | (0.003) | (0.003) | (0.004) |
| Democratization | 0.222\*\*\* | 0.297\*\*\* | 0.289\*\*\* | 0.225\*\*\* |
|  | (0.062) | (0.079) | (0.083) | (0.084) |
| Autocratization | -0.056 | -0.069 | -0.047 | -0.133 |
|  | (0.084) | (0.087) | (0.091) | (0.108) |
| Stable Anocracy |  | 0.099\*\* | 0.112\*\* | 0.069 |
|  |  | (0.050) | (0.051) | (0.065) |
| Stable Democracy |  | 0.025 | 0.032 | -0.068 |
|  |  | (0.048) | (0.051) | (0.062) |
| Regime Type | 0.007\*\* |  |  |  |
|  | (0.003) |  |  |  |
| Dispute | -0.053\*\*\* | -0.050\*\*\* | -0.048\*\*\* | -0.022 |
|  | (0.012) | (0.012) | (0.012) | (0.017) |
| Hegemony | 0.185 | 0.122 | -3.163\*\*\* | -18.228\*\*\* |
|  | (0.647) | (0.664) | (1.198) | (2.378) |
| Year | 0.002 | 0.002 | -0.005 | -0.028\*\*\* |
|  | (0.003) | (0.003) | (0.004) | (0.005) |
| NAmerica | -0.118\*\* | -0.063 | -0.103 | -0.089 |
|  | (0.059) | (0.066) | (0.070) | (0.092) |
| SAmerica | -0.219\*\*\* | -0.189\*\*\* | -0.212\*\*\* | -0.216\*\*\* |
|  | (0.059) | (0.057) | (0.059) | (0.067) |
| AsiaOceania | -0.205\*\*\* | -0.164\*\*\* | -0.180\*\*\* | -0.130\* |
|  | (0.057) | (0.057) | (0.060) | (0.077) |
| Europe | 0.031 | 0.073 | 0.051 | 0.039 |
|  | (0.065) | (0.071) | (0.071) | (0.070) |
| Middle East | -0.159\*\*\* | -0.157\*\*\* | -0.191\*\*\* | -0.162\*\*\* |
|  | (0.049) | (0.049) | (0.052) | (0.052) |
| Former Communist | 0.454\*\*\* | 0.396\*\*\* | 0.422\*\*\* | 0.321\*\*\* |
|  | (0.095) | (0.102) | (0.095) | (0.091) |
| Independence | 0.000 | 0.000 | 0.000 | 0.001\* |
|  | (0.000) | (0.000) | (0.000) | (0.000) |
| pcGDP |  |  | 0.000 |  |
|  |  |  | (0.000) |  |
| NGOs |  |  |  | -0.000 |
|  |  |  |  | (0.000) |
| Constant | -2.375 | -2.173 | 12.240\* | 59.343\*\*\* |
|  | (5.556) | (5.557) | (7.216) | (9.419) |
| ln(alpha) | -0.646\*\*\* | -0.756\*\*\* | -0.648\*\*\* | -0.847\*\*\* |
|  | (0.071) | (0.074) | (0.071) | (0.081) |
| Observations | 6,157 | 5,725 | 5,934 | 4,505 |

Robust standard errors in parentheses; second-stage estimates presented; first-stage estimates available from STATA do file accompanying data set.

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Appendix Table 2: Alternative measures of existing compliance with human rights standards: Freedom House & CIRI.

|  |  |  |  |
| --- | --- | --- | --- |
| VARIABLES | Sov. Costs | Sov. Costs | Sov. Costs |
|  |  |  |  |
| #HR Institutions | 0.001 | 0.002 | 0.005 |
|  | (0.003) | (0.003) | (0.004) |
| Democratization | 0.248\*\*\* | 0.231\*\*\* | 0.229\*\*\* |
|  | (0.067) | (0.066) | (0.074) |
| Autocratization | -0.106 | -0.093 | -0.136 |
|  | (0.130) | (0.129) | (0.138) |
| Regime Type | -0.009 | 0.003 | 0.003 |
|  | (0.008) | (0.007) | (0.006) |
| Dispute | -0.030\* | -0.031\* | -0.034 |
|  | (0.018) | (0.018) | (0.024) |
| Hegemony | -15.335\*\*\* | -15.387\*\*\* | -17.470\*\*\* |
|  | (2.872) | (2.866) | (2.863) |
| Year | -0.028\*\*\* | -0.029\*\*\* | -0.054\*\*\* |
|  | (0.005) | (0.005) | (0.007) |
| NAmerica | -0.206\*\*\* | -0.193\*\* | -0.231\*\* |
|  | (0.070) | (0.075) | (0.105) |
| SAmerica | -0.312\*\*\* | -0.297\*\*\* | -0.357\*\*\* |
|  | (0.073) | (0.075) | (0.094) |
| AsiaOceania | -0.257\*\*\* | -0.246\*\*\* | -0.290\*\*\* |
|  | (0.075) | (0.077) | (0.104) |
| Europe | -0.034 | -0.020 | -0.114 |
|  | (0.070) | (0.071) | (0.102) |
| Middle East | -0.210\*\*\* | -0.194\*\*\* | -0.204\*\* |
|  | (0.069) | (0.068) | (0.085) |
| Former Communist | 0.492\*\*\* | 0.478\*\*\* | 0.565\*\*\* |
|  | (0.095) | (0.095) | (0.106) |
| Independence | 0.001 | 0.001 | 0.000 |
|  | (0.000) | (0.000) | (0.001) |
| Freedom House: Pol. Rights | -0.053\*\* |  |  |
|  | (0.026) |  |  |
| Freedom House: Civ. Rights |  | -0.007 |  |
|  |  | (0.027) |  |
| CIRI |  |  | -0.014 |
|  |  |  | (0.015) |
| Constant | 60.985\*\*\* | 62.465\*\*\* | 112.530\*\*\* |
|  | (9.960) | (9.771) | (13.249) |
| ln(alpha) | -0.853\*\*\* | -0.849\*\*\* | -0.908\*\*\* |
|  | (0.090) | (0.089) | (0.110) |
|  |  |  |  |
| Observations | 4,031 | 4,032 | 2,589 |

Robust standard errors in parentheses; second-stage estimates presented; first-stage estimates available from STATA do file accompanying data set.

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1